

## SAG Portfolio Planning Process Project Plan

### Attachment A: Background and Definitions

#### I. Background

The Program Administrator Portfolio Plans that will be filed in fall 2016 represent the fourth 3-year Plan filings for electric Program Administrators (Ameren IL, ComEd, and the Department) and the third 3-year Plan filings for gas Program Administrators (Nicor Gas, Peoples Gas-North Shore Gas, and the Department). Since 2008, SAG has provided a venue for Program Administrators and stakeholders to work together to discuss a variety of issues and reach consensus on directives from the Commission. Program Administrators and stakeholders have achieved many successes throughout this process. Over the years, a number of SAG participants have expressed interest in reducing litigation in Plan dockets. The SAG Portfolio Planning Process was created to address this concern and provide a consensus-seeking process to discuss Energy Efficiency policies, initiatives, and draft Plans in advance of the next required filings. A summary of directives to SAG in past Plan filings is provided below, for reference.

Commission directives to SAG (excerpted):

- The responsibilities of the Illinois SAG include, but are not limited to: reviewing final program designs; establishing agreed-upon performance metrics for measuring Portfolio and program performance; reviewing Plan progress against metrics and against statutory goals; reviewing program additions or discontinuations; reviewing new proposed programs for the next program cycle; and reviewing program budget shifts between programs where the change is more than 20% (ComEd Final Order, [07-0540 at 32](#); Ameren Final Order, [07-0539 at 24](#)).
- In the Final Order of the second three-year electric energy efficiency Plans and first three-year gas Plans, the assigned additional duties to the SAG included the development of a Technical Reference Manual for approval by the ICC (e.g., ComEd Final Order, [10-0570 at 59](#); Policy Division Staff Report, [12-0528](#)).
- In January 2014, the ICC issued final orders in the third three-year electric Plans, and further expanded SAG duties to include, among other issues, reviewing new program designs, further discussing issues that remained unresolved in litigation, and the creation of an Illinois Energy Efficiency Policy Manual (e.g., [Ameren IL Final Order, 13-0498](#); [ComEd Final Order, 13-0495](#); [DCEO Final Order, 13-0499](#)). Final Orders in the second three-year gas Plans were issued in May 2014 and include similar expansion of SAG issues (e.g., [Nicor Gas Final Order, 13-0549](#); [Peoples Gas-North Shore Gas Final Order, 13-0550](#)).

#### II. Definitions

The following terms may be used in this Project Plan.<sup>1</sup> Defined terms include, but are not limited to:

- **Commission or ICC** means the Illinois Commerce Commission, which is created and established under the provisions of the Public Utilities Act.<sup>2</sup>

---

<sup>1</sup> Excerpted from Illinois Energy Efficiency Policy Manual Version 1.0 (filed with the Commission for approval on August 26, 2015 – see ICC Docket No. 15-0487).

- **Energy Efficiency** means Measures that reduce the amount of energy, electricity, or natural gas required to achieve a given end use. Energy Efficiency also includes Measures that reduce the total Btus of electricity and natural gas needed to meet the end use or uses.<sup>3</sup>
- **Illinois Energy Efficiency Stakeholder Advisory Group (EE SAG or SAG)** means an Energy Efficiency and Demand Response advisory body established by the Commission that is open to all interested participants. SAG is a forum that allows parties to express different opinions, better understand the opinions of others, and foster collaboration and consensus, where possible and appropriate.
- **Measure(s)** means an energy-using appliance, piece of equipment, audit, or practice that will result in measurable, reduced energy usage at a comparable level of service.
- **Plan** means the document filed by Program Administrators for approval by the Commission that includes electric and gas Energy Efficiency Programs and electric Demand Response Programs, pursuant to Section 8-103 and 8-104 of the Public Utilities Act.
- **Portfolio** means a group of Energy Efficiency Programs funded by Customers that are offered by Program Administrators during the three-year Program cycle pursuant to Section 8-103 and Section 8-104 of the Public Utilities Act.
- **Program** means an Energy Efficiency or Demand Response Program within the Portfolio offered to Eligible Customers of Program Administrators pursuant to Section 8-1039; a Section 16-111.5B Program offered to Eligible Customers of Ameren Illinois Company and/or Commonwealth Edison Company<sup>10</sup>; and/or an Energy Efficiency Program within the Portfolio offered to Eligible Customers of Program Administrators pursuant to Section 8-104.<sup>4</sup>
- **Program Administrator(s)** means Ameren Illinois Company (Ameren IL), Commonwealth Edison Company (ComEd), the Department of Commerce and Economic Opportunity (Department), Northern Illinois Gas Company d/b/a Nicor Gas Company (Nicor Gas), the Peoples Gas Light & Coke Company and North Shore Gas Company (Peoples Gas-North Shore Gas), offering Programs pursuant to Section 8-103, Section 8-104, and/or Section 16-111.5B of the Public Utilities Act.
- **Program Year** or **Plan Year** means the year during which Energy Efficiency Programs offered by Program Administrators operate, from June 1 to May 31.
- **SAG Facilitation Team** means the independent organization under contract to facilitate the Illinois Energy Efficiency Stakeholder Advisory Group. For the purposes of this Project Plan, Annette Beitel ([annette.beitel@futee.biz](mailto:annette.beitel@futee.biz)) and Celia Johnson ([celia.johnson@futee.biz](mailto:celia.johnson@futee.biz)), of Future Energy Enterprises are the SAG facilitators.

---

<sup>2</sup> Public Utilities Act (220 ILCS 5/3-102).

<sup>3</sup> Illinois Power Agency Act (20 ILCS 3855/1-10); Public Utilities Act (220 ILCS 5/8-104(b)).

<sup>4</sup> Public Utilities Act (220 ILCS 5/8-104).

## Attachment B: Key Issues for SAG Portfolio Planning Process

The issues listed in this attachment are a result of stakeholder discussion at the July 28, 2015 and September 28-29, 2015 monthly SAG meetings, as well as individual stakeholder meetings held by the SAG facilitation team.

### Threshold Issues

- All parties must commit that positions taken during this process, including presentations and comments made during discussion meetings, will not be used in litigation – “rules of the road.”
  - **ACT:** Kristol Whatley (Ameren IL) is drafting a proposed stipulation.
- What is the scope, sequencing and timing of issues?
- Commission approval of Plans<sup>5</sup>:
  - High level topics to be included:
    - Statutory topics for Commission approval:
      - Portfolio Savings, budgets
      - Program Budgets (within 20%)
      - Program content (an opportunity for Customers of all rate classes to participate)
      - Independent evaluation – timing for issuance of evaluator RFPs to ensure a contract is in place at the start of the programs
    - Additional topics for Commission approval:
      - Policy rules
      - Anything else?
      - For electric utilities, what belongs in IPA Portfolio?
  - What do the plans really need to settle?
  - What is within Administrator discretion?
    - With accountability comes responsibility.
  - Process issue: Syncing the specific dates in the tariffs for certain utility filings.
- What would planners/stakeholders like to know up-front?
- What is goal of discussion?
  - Stipulated agreements at end?
  - Who should participate? Review past Plan dockets to determine intervenors.
- What won't settle?
- What is current performance of Portfolios?
- Figure out what issues merit time
  - For proposals to be included in the Plan, the interested stakeholder should include impacts to the Plan, including costs and savings. Utilities should also do this as part of their planning process. This information should be allowed to be used in the docket.<sup>6</sup>
- Annual vs. lifetime savings
- Program gaps and overall Plan design goals
  - What are we trying to accomplish?
  - Continued enhancement of gas and electric coordination
- Department of Commerce and Economic Opportunity budget
  - Can EE help former LIHEAP customers that have been cut off, to reduce usage?
- What information do we need to know to have informed discussions?

<sup>5</sup> See Section 8-103(f) and 8-104(f) for a list of filing requirements for Plans.

<sup>6</sup> See 10-0568 Final Order at 26-27 (December 21, 2010).

- Data on current (2014) budget across programs
- Data on cost/unit energy across programs
- Non-program costs – where is money going?
  - Marketing – 3-4%
  - Research and development (“R&D”) – 3%
  - Evaluation, measurement and verification (“EM&V”) – 3%
  - Administrative costs – generally less than 5% in IL
  - Can the utilities clarify in the Plan whether the non-Program costs used in the Plan represent any non-Rider costs?

### **Up Front Issues for Discussion**

- Department of Commerce and Economic Opportunity Funding (October SAG meetings – Molly Lunn, the Department)
  - Areas they would cover – can the other Program Administrators cover low or low/moderate income customers?
  - Amount – is it 25% of the total 8-103/8-104 funding? (The statute states 25% of the measures).
  - Independent evaluation contract – should the Department have its own evaluation contractor?
  - Should DCEO have its own proceeding and EE Plan?
  - What are realistic goals for the Department?
    - i. Should the Department have to get 20% of the utility goals?
    - ii. Can the Department get the same (\$/therm, kWh), higher or lower than their performance?
    - iii. Is it fair for the Department to assign 20% of the goals if that doesn't reflect their assigned customer base?
  - What is the load of customers that the Department actually serves, as a % of entire load? (ballpark for low-income / public sector)
- How can we integrate the IPA bidding process with the Section 8-104/8-104 planning process? (Karen Lusson, IL AG) – separate discussion
  - SAG participants need to understand what is in the current IPA Portfolio.
    - i. What programs should go into the IPA?
- Allocation across Programs (October 2015 meetings – Keith Goerss, Ameren IL; coordinate with other Program Administrators to include a matrix for each)
  - Residential / Commercial and Industrial (“C&I”) / Market Transformation
  - Maximize savings?
  - Funds allocated according to class contribution or more generally
  - “Balanced” Portfolio of options for customers
  - Roles that Potential Studies play in determining the allocation
- Annual vs. Lifetime Savings (October 2015 meetings – Chris Neme, Energy Futures Group, on behalf of NRDC)
  - To what extent should Program Administrators focus on annual vs. lifetime savings?
  - What happens if you define the EE Plans based on lifetime goals?
- Costs – cents/First year (and lifetime) kWh and dollars/First year (and lifetime) therm – deferred
  - Gas and electric costs – what is current price per kWh/therm savings of the residential and C&I Portfolios?
    - i. Res
    - ii. C&I

- iii. Low Income
- iv. By program?
  - o What is reasonably aggressive goal (price/kWh; price/therm) for residential and C&I Portfolios?
- Changes to Current Program Design (starting point – current Portfolios) (October SAG meetings – Chris Neme, Energy Futures Group, on behalf of NRDC; in coordination with other stakeholders)
  - o Are any changes needed? Is there evidence to support that change?
  - o Custom Programs – how do Program Administrators come up with savings?
- Stipulation (SAG Facilitation) – October 2015
  - o What are we trying to agree to at the end of this process?
- Policy Manual (Karen Lusson, IL AG) – October 2015
  - o Confirm that the Policy Manual is a threshold document for the next 3-year Plan.
- Goals – on the electric side, will there be 3-year goals and budgets? (Keith Goerss, Ameren IL; in coordination with ComEd) – October 2015
  - o This is a statutory interpretation/legal issue. Utilities need to comply with the statutory requirements.
  - o Issues:
    - i. On the savings side, is it a 3-year goal? If it's a multi-year goal, how is the goal calculated?
    - ii. On the budget side, is it a plan-budget or a multi-year budget? Do those budgets change with either forecasts or actual sales data?
    - iii. Policy issue – if budgets adjust, goals should adjust accordingly.
- Clean Power Plan – how does input from SAG get articulated to IL EPA? What is the relationship between these two processes? – deferred
  - o SAG Facilitation to prepare and present Technical Position Paper on possible SAG Portfolio Planning Process Impacts in October 2015 meetings.

### **Additional Issues for Discussion**

1. Funding allocated to low income/moderate income – January 2016
  - o Defining “low income” and “moderate income” customers
    - i. Expansion of the low income customer definition.
    - ii. What does “moderate income mean”? What is the purpose of offering programs to this particular subset of customers?
  - o Should there be effort to identify how much these populations contribute? Yes.
  - o Policy Issue: How much to allocate to these customers?
    - i. At a minimum, proportionate share to customer population.
    - ii. Is this concern the share of low/moderate income population, or the share of the total population?
  - o It is possible to offer less efficient equipment for low-income programs and achieve greater savings. (For ex: A 95% AFUE furnace saves apx. 3% more gas than a 92% AFUE furnace, however the cost is apx. 20-25% more). Can the low-income programs serve more customers with their budget if this approach is utilized?
2. Cost-Effectiveness Issues (specific issues are being discussed in different venues)
  - o Cost-Effectiveness Screening Issues
    - i. NEBs
    - ii. Common inputs
      1. Transparency in the sources (not always common)
      2. Transparency in incremental cost assumptions

- iii. Sensitivity analysis
  - 1. Societal vs. cost of capital discount rate
- iv. Providing joint program TRC results in Plan filings (not an issue since filing dates differ for gas/electric).
- 3. Large C&I Customers
  - o Customers are currently being served; this sector will not be separately addressed as part of the planning process.
- 4. Are we allocating EM&V resources in an ideal way? If not, are there any fundamental changes that need to be considered? – April 2016
  - o How much money impact vs. process?
  - o Forward looking vs. bean counting?
  - o Do we need simple discussion annually about forward-looking EM&V?
- 5. Program Design
  - o What specific programs could be improved? – October 2015
  - o Maximizing savings vs. comprehensiveness. – Move to threshold issues (a subset of the objectives issue); utilities
  - o New program ideas/new technologies/new services? – templates due by Nov. 4
  - o Are upstream incentives being utilized to their full potential? – October 2015
  - o Gas vs. electric spending (Section 8-104 limits)
  - o Smart Grid – are we fully leveraging deployment of smart meters through EE? (This question needs to be addressed within the context of where each utility will be in terms of service territory installation rates.) – April 2016 for evaluation
  - o Role of Codes and Standards – can we claim savings? (Codes Collaborative – will this continue?) (Molly Lunn, the Department; Hammad Chaudhry, Nicor Gas)
    - i. Due to the delay, do we want to continue R&D?
    - ii. Key issue is evaluation.
    - iii. Complete the program template.
    - iv. This will be included in the draft IL-NTG Methodologies document circulated for discussion October 2<sup>nd</sup>.
    - v. Codes are important in the context of the TRM.
  - o Role of Market Transformation
    - i. What are markets we want to prioritize for transformation?
    - ii. How broadly should market transformation be defined?
- 6. If we aim for lifetime savings, what changes would be needed in program design? – deferred
- 7. Wasted Energy – do we have effective program strategies to capture?
  - o Any SAG participant interested in submitting a program, measure or significant change needs to complete the required template (due by COB on Nov. 9, 2015).
- 8. Portfolio Gaps?
  - o Programs
  - o Technologies
- 9. Potential Studies – February 2016
  - o Role of potential studies. How will they be used?
    - i. Gas – Are there areas we are not currently getting?
    - ii. IPA – Could we use to identify other decisions that could go into Plan?
    - iii. Are there areas we have not been pursuing?
  - o What are the bounds of potential studies?
    - i. Is there information gathered that could be leveraged to update the TRM?
    - ii. Is there information gathered that could inform estimates for non-participant spillover?
- 10. Gas-Electric Coordination

- What programs should be coordinated, ideally?
  - To what extent does lower gas budget preclude full coordination?
  - Providing joint TRC results for programs.
  - Timing to facilitate ComEd having gas final numbers when their Plan is filed.
11. Adjustable Goals
- Reach agreement on the NTG ratios used in the Plan filing.
  - Transparency in calculations and key inputs to facilitate any adjustments. Use the TRM measure code and articulate any assumptions made in calculating.
  - Adjustable Goals template will be discussed in a small group in Policy Manual Subcommittee Version 2.0 process.
12. Statewide Program Marketing
- Can we move to statewide trademark and marketing, similar to MASSaves?<sup>7</sup>
  - Pros/Cons of this approach.
  - Any SAG participant interested in submitting a program needs to completed the required template (due by COB on Nov. 9, 2015).
13. Policy Changes – through Policy Manual Subcommittee process
- Are any needed? Policy changes will be developed through Policy Manual Subcommittee Version 2.0 process. Completed Proposed Policy Template due by COB on December 4, 2015.
14. Demand Response
- Inclusion in the next Plan. Is it appropriate to continue these programs once the statutory requirement ends? What about customers that have already signed up?
  - Any SAG participant interested in submitting a program needs to completed the required template (due by COB on Nov. 9, 2015).
15. Voltage Optimization
- What is the feasibility to offer this? Is this a measure and should it be funded through the Portfolios?
  - Any SAG participant interested in submitting a program needs to completed the required template (due by COB on Nov. 9, 2015).

---

<sup>7</sup> See <http://www.masssave.com/en/about-mass-save>.

## Attachment C: Schedule for SAG Portfolio Planning Process (2015-2016)

The schedule below has been developed for the Planning Process by the SAG Facilitation Team.<sup>8</sup> Meeting invitations are circulated to the SAG distribution list. See Attachment C for additional details on SAG Portfolio Planning Process schedule topics. Schedule topics may be re-adjusted, as needed. If adjustments occur, the SAG Facilitation Team will provide advance notice to SAG participants.

### 2015 Schedule Overview

2015 Portfolio Planning Process Meetings				
Date(s)	Agenda Item(s)	Presenter(s)	Deliverable	Next Steps
<b>Tues., July 28</b>	Stakeholder Group Discussion on SAG Portfolio Planning Process	Annette Beitel, EE SAG Facilitator	Draft Portfolio Planning Process Plan document	1) Circulate updated final draft SAG Portfolio Planning Process Project Plan prior to September meeting. 2) Confirm presenters/topics for Sept. meetings.
<b>Mon., Sept. 28 - Tues., Sept. 29</b>	<u>Day 1</u> 1. IL-NTG Working Group Report-out 2. Clean Power Plan – Overview of Final Rule 3. Illinois Energy Roadmap Overview 4. State Carbon Emissions Tool 5. NRDC Analysis (TBD) 6. How does the Clean Power Plan impact the SAG Portfolio Planning Process? 7. ComEd presents current Portfolio (EPY7) 8. Ameren IL presents current Portfolio (EPY7, GPY4)	1. Residential – Jane Colby, Cadmus; C&I – David Diebel, ADM 2. Julia Friedman, MEEA 3. Molly Lunn, the Department of Commerce and Economic Opportunity 4. Becky Stanfield, NRDC 5. Chris Neme, Energy Futures Group, on behalf of NRDC 6. Annette Beitel, SAG Facilitator 7. Todd Thornburg, ComEd 8. Keith Goerss, Ameren IL	Presentations due by Sept. 22.	To be determined following meeting discussion.
	<u>Day 2</u> 1. TRM Version 5.0 Update 2. Addt'l Program Administrator presentations on current Portfolios, including IPA programs (Ameren IL and ComEd).	1. Sam Dent and Cheryl Jenkins, VEIC 2. Program Administrators (Keith Goerss, Ameren IL; Jim Jerozal, Nicor Gas; Paige Knutsen, Franklin Energy on behalf of PG-NSG; Molly Lunn, the Dept.)	Completed Portfolio Planning Template due by Sept. 15.	Initial Stakeholder input on current programs and programs that could be improved. Discuss consensus stakeholder input on areas of existing portfolio to scale-up/scale down.

<sup>8</sup> The 2015 and 2016 schedule also includes regular SAG topics, as indicated in yellow highlight in Attachment C.



<b>2015 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable</b>	<b>Next Steps</b>
<b>Mon. Oct. 26 - Tues., Oct. 27</b>	<u>Days 1-2</u> 1. Current Program Feedback 2. Department of Commerce and Economic Opportunity Funding 3. Annual vs. lifetime savings 4. Allocation across Programs 5. Preliminary Potential Study Results (Ameren IL) 6. Clean Power Plan follow-up: Overview of Impacts 7. Portfolio Planning Follow-up: Stipulation Goals; Policy Manual – Threshold Issue 8. Follow-up: Consent Agreement/ Stipulation 9. Electric Goals – 3/year Goals/budgets 10. Portfolio Goals for next 3-year EE Plans	1. Chris Neme, Energy Futures Group, on behalf of NRDC 2. Molly Lunn, the Department 3. Chris Neme, Energy Futures Group, on behalf of NRDC 4. Keith Goerss, Ameren IL 5. Keith Goerss, Ameren IL 6. SAG Facilitation 7. SAG Facilitation; Karen Lusson, IL AG 8. SAG Facilitation; Kristol Whatley, Ameren IL 9. Keith Goerss, Ameren IL 10. Program Administrators	Presentations due by Oct. 19.	SAG Facilitation Team to track stakeholder input on current Programs and planning issues.  Completed Proposed New Program Idea Template for any new ideas due by COB on Monday, Nov. 9.
<b>Mon., Nov. 16 - Tues., Nov. 17</b>	<u>Days 1-2</u> 1. Presentations on Proposed New Program Ideas 2. Clean Power Plan follow-up: Draft EM&V Guidelines	1. Presenters TBD (Note - completed Proposed New Program Idea Templates were due by Nov. 9). 2. Rob Neumann, Navigant	Presentations due by Nov. 9.	Consensus stakeholder input on which new initiatives should be included in portfolio plans.
<b>Mon., Dec. 14 - Wed., Dec. 16</b>	<u>Days 1-3</u> 1. Presentations on Proposed New Program Ideas 2. Smart T-stat Evaluation Results / Emerging Tech Smart T-stat Results 3. Ameren IL Potential Study Results – Residential	1. Various (Note - completed Proposed New Program Idea Templates were due by Nov. 9). 2. Navigant Consulting; Nicor Gas 3. Dave Costenaro, AEG on behalf of Ameren IL	Note: Proposed Policy Templates due by COB December 4.	Consensus stakeholder input on which new initiatives should be included in portfolio plans.

**2016 Schedule Overview**

<b>2016 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable</b>	<b>Next Steps</b>
<b>Mon. Jan 25 - Tues. Jan 26</b>	<u>Days 1-2</u> 1. Section 16-111.5B Process Proposal 2. Low-Income Programs / Market Transformation; <b>SAG Feedback on Dept. Programs (ICC Directive)</b> 3. City of Chicago – Benchmarking Report 4. High-level Portfolio Budgets 5. Cross-Cutting Objectives – Follow-up 6. High-level Program Administrator Responses to Program Ideas 7. Follow-up: draft Stipulation and Acknowledgment and Agreement 8. Codes / Standards Update and <b>Illinois Clean Energy Finance “Tune-Up” Memo</b> 9. Follow-up: Voltage Optimization	1. Karen Lusson, IL AG 2. Molly Lunn, Department 3. Jamie Ponce 4. Program Administrators 5. Annette Beitel, EE SAG Facilitator 6. Program Administrators 7. Karen Lusson, IL AG; Kristol Whatley, Ameren IL; Mark DeMonte, Jones Day, on behalf of Ameren IL 8. Molly Lunn, Department 9. Keith Goerss, Ameren IL;	Presentations due by Jan. 19.	
<b>Mon., Feb. 22 - Tues., Feb. 23</b>	<u>Days 1-2</u> Program Administrators (electric and gas) present on high level Potential Study results.	Ameren IL, ComEd, Nicor Gas, Peoples Gas - North Shore Gas	Presentations (high level) on Potential Study results due Feb. 15	Educate SAG on Potential Study results. Discuss how results will impact Portfolio plans.
<b>Mon. March 28 - Tues. March 29</b>	Program Administrators present high-level draft Portfolio Plans	Ameren IL, ComEd, Department, Nicor Gas, Peoples Gas - North Shore Gas	Program Administrator presentations on high level draft Portfolio Plans due by March 6.	Build consensus with stakeholder input on issues.
<b>Mon. April 25 - Tues., April 26</b>	<u>Day 1</u> <b>1. EM&amp;V Resources and Planning.</b> <b>2. CUB Proposal</b>	1. Presenters TBD 2. Kristin Munsch, CUB	Presentations due by April 18.	Build consensus with stakeholder input on issues.
	<u>Day 2</u> Additional topics TBD.	Presenters TBD		

2016 Portfolio Planning Process Meetings				
Date(s)	Agenda Item(s)	Presenter(s)	Deliverable	Next Steps
Mon., May 16 - Tues. May 17	<p><u>Day 1</u> Utilities (electric) and Department present updated draft Portfolio Plans.</p>	George Malek, ComEd; Keith Goerss, Ameren IL; Molly Lunn, Department of Commerce and Economic Opportunity	Program Administrators (electric, gas, Department) to circulate draft Portfolio Plans for review and comment by Monday, April 15.	Build consensus on draft Portfolio Plans with stakeholder input.
	<p><u>Day 2</u> Continue discussion of electric draft Portfolio Plans, if needed.</p> <p>Utilities (gas) present draft Portfolio Plan.</p>	Sue Nathan, AEG, on behalf of Peoples Gas – North Shore Gas; Jim Jerozal, Nicor Gas	Stakeholder comments on draft Portfolio Plans due Friday, May 6 (15 Business Days for review).	Draft consent agreement and Comparison Exhibit circulated for review Wed., June 8. All stakeholder comments due by Wed., June 22 (10 Business Days for review).
Mon., June 27 - Tues., June 28	<p><u>Day 1</u> 1. TRM Version 6.0 – Discussion of Priority Measures 2. Discuss updated draft electric and Department Portfolio Plans and build consensus with stakeholder input.</p>	1. Cheryl Jenkins and Sam Dent, VEIC 2. Various; Annette Beitel, SAG Facilitator	Updated electric, Department, and gas draft Portfolio Plan filings due Fri., June 10.	Continue building consensus on updated draft electric and Dept. Portfolio Plans. <i>Note: Updated gas plans to be discussed in July and September.</i>
	<p><u>Day 2</u> Finalize consensus on draft electric and Department Portfolio Plans with stakeholder input (consent agreements, Comparison Exhibits).</p>	Annette Beitel, SAG Facilitator; Various		Finalize consensus on draft electric and Department Portfolio Plans with stakeholder input by July 15.

<b>2016 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable</b>	<b>Next Steps</b>
<b>Tues., July 26</b>	Discuss updated draft gas Portfolio Plans and build consensus with stakeholder input.	Annette Beitel, SAG Facilitator; Various		Continue building consensus on updated gas Portfolio Plans. <i>Note: Final gas consensus discussion will take place in September.</i>
<b>Deliverable Deadline: Fri., July 15</b>	<i>Finalize consent agreements with any non-consensus items (electric, Department).</i>			
<b>Tues., Sept. 6</b>	Finalize consensus on draft gas Portfolio Plans with stakeholder input (consent agreements, Comparison Exhibits).	Annette Beitel, SAG Facilitator; Various		Finalize consensus on draft electric / Dep. Portfolio Plans with stakeholder input.
<b>Thurs., Sept. 15</b>	<i>Finalize consent agreements with any non-consensus items (gas).</i>			

## **2015 Meetings**

Proposed presenters are identified in parenthesis. The purpose of each meeting is described prior to the list of meeting topics. Goals for each meeting are listed below meeting topics. Deliverables and due dates can be found in the Portfolio Project Plan. Monthly schedules also include “regular” SAG topics, as applicable. “Regular” SAG topics are highlighted **in yellow**.

### **July** (Large Group SAG Meeting – July 28<sup>th</sup>, 2015)

- *Purpose: To narrow key issues; determine if there are additional issues; discuss questions and next steps.*

### **Meeting Topics:**

- Stakeholder Group Discussion (Annette Beitel, SAG Facilitator)
  - Expected outcome
  - Review scope, deliverables, and responsibilities
  - Lessons learned from the Policy Manual development process
  - Scope – what will stakeholders seek to reach resolution on?
    - Overall budget
    - Targets
    - List of Programs
    - New Initiatives
    - Be clear about what will be left to the administrator’s discretion:
      - Allocation of funds to different programs
      - Program details
  - Schedule/deliverables/responsibilities

- Question for discussion:
  - How do we retain focus on key issues and avoid spending too much time on issues that either are not of general interest, are not going to have big impacts on statutory objectives and/or impinge on Program Administrator discretion?
- **Goals:**
  - Agreement on Process: Stakeholder discussion/agreement about scope/schedule/deliverables/goals of stakeholder Portfolio planning process
  - Agreement on Process Rules: Reach agreement on process and how to avoid spending unnecessary time on unproductive discussions on minor issues/details.

#### **August (Prep Work):**

- Develop Program Administrator Portfolio/Program Data template on current Portfolios (Sue Nathan, AEG; with input from Program Administrators; SAG Facilitation)
- Develop Project Plan, schedule and process “Ground Rules,” including settlement discussion rules. (SAG Facilitation; Kristol Whatley to propose draft stipulation and settlement policies)
- Identify all parties who should be notified of planning process and schedule so they can participate when interested (SAG Facilitation):
  - Prior EE Plan intervenors
  - Others:
    - Cities: City of Chicago; Metropolitan Mayor’s Caucus
    - Large C&I customers and representatives
    - Low Income (HUD, Chicago Housing Authority, other authorities – Rockford, IL AG recommendation on low income rep)
  - Any other parties?
- **Goal:**
  - Various parties to complete prep work to ensure an informed planning process.

#### **September (Prep Work):**

- Program Administrators to complete Portfolio/Program Data in common template (2014 Base Year)
  - Program NTG and TRCs
  - Program cost/unit energy
  - Program budgets and savings
  - Any other data?
    - Admin costs (Portfolio-Level Admin, M&O)
- Program Administrators to provide C/E screening inputs in template (besides TRM values)
  - Confidential items (avoided costs) don’t have to be included
    - Any basis for confidential avoided costs?
    - NDA for parties to review who want to?
    - At least generic \$/MW value
    - Cost per marginal MWh should be disclosed so we can use for general cost-effectiveness.
  - NEBs – Portfolio or measure-level?
- Develop “Portfolio and Program Filing Template” (SAG Facilitation; review Massachusetts filing for ideas):
  - Portfolio Template
    - Front Matter

- Program Template
  - Existing programs – key changes
  - New Programs – more detail
- Survey of other leading jurisdictions to identify program gaps (VT, MA, CA, Other Midwestern) (SAG Facilitation; Stakeholders)
- **Goal:**
  - Various parties to complete prep work to ensure planning process informed.

**September (SAG Meeting +1, Monday/Tuesday – September 28-29<sup>th</sup>)**

- *Purpose: To educate SAG. Discuss questions and/or concerns. Determine whether there are additional threshold and general allocation issues to consider. Discuss whether there are additional program types in IL that could be improved in the next Plan cycle.*

**Meeting Topics (Day 1):**

- IL-NTG Working Group Report-out (Residential – Jane Colby, Cadmus; C&I – David Diebel, ADM)
- Clean Power Plan:
  - Clean Power Plan – Overview of Final Rule (Julia Friedman, MEEA)
  - Illinois Energy Roadmap Overview (Molly Lunn, the Department of Commerce and Economic Opportunity; Kevin Green, IL EPA)
  - Clean Power Plan Tool; M.J. Bradley (Becky Stanfield, NRDC)
- SAG Portfolio Planning Process Kick-off (Annette Beitel, EE SAG Facilitator)
- Draft Consent Agreement/Proposed Stipulation; Proposed Path Forward – Planning Discussions (Annette Beitel, EE SAG Facilitator; Kristol Whatley, Ameren IL)
- ComEd Overview of EPY7 (Todd Thornburg, ComEd)
- Ameren IL Overview of EPY7/GPY4 (Keith Goerss, Ameren IL)

**Meeting Topics (Day 2):**

- TRM Version 5.0 Update (Sam Dent and Cheryl Jenkins, VEIC)
- Department of Commerce and Economic Opportunity Overview of EPY7/GPY4 (Molly Lunn, the Department)
- Nicor Gas Overview of GPY4 (Jim Jerozal, Nicor Gas)
- Peoples Gas-North Shore Gas Overview of GPY4 (Paige Knutsen, Franklin Energy, on behalf of PG-NSG)
- **Goals:**
  - Educate SAG on current programs, including areas of scale-up/scale-down; which programs are going well; etc.
  - Initial Stakeholder input on current programs.

**October/November (2 SAG Meetings + 2 days (4 Days – Monday/Tuesday October 26<sup>th</sup> – 27<sup>th</sup>; Monday/Tuesday, November 16<sup>th</sup> – 17<sup>th</sup>) – Up-front issues; new program ideas/initiatives**

- *Purpose: To discuss and reach consensus on threshold “up front” issues. To educate SAG on proposed new initiatives; answer questions and concerns; determine if any additional research is needed. Discuss consensus on new initiatives to be included in Portfolio Plans.*

**Meeting Topics (October Days 1-2; Up Front Threshold Issues and Feedback on Current Portfolios; Additional Topics):**

- Clean Power Plan Follow-up: Technical Position Paper (possible impacts of Clean Power Plan to SAG Portfolio Planning Process) (SAG Facilitation)
- Follow-up: SAG Portfolio Planning Process (SAG Facilitation; Karen Lusson, IL AG; Kristol Whatley, Ameren IL)
  - Updated Project Plan documents.
  - Stipulation – what are we trying to agree to at the end of this process?
  - Policy Manual – confirm the Policy Manual is a threshold document for the next 3-year Plans.
- Threshold issue: Department of Commerce and Economic Opportunity Funding (Molly Lunn, Department)
  - Additional questions:
    - What % of the total budget should be going to low-income? Should the utilities also serve low-income customers?
    - Can EE help former LIHEAP customers that have been cut off, to reduce usage?
- Threshold issue: Annual vs. lifetime savings (Chris Neme, Energy Futures Group, on behalf of NRDC)
- Threshold issue: Allocation across Programs (Keith Goerss, Ameren IL)
  - Residential vs. Commercial and Industrial (“C&I”)
    - “Balanced” Portfolio of options for customers
    - Gas vs. electric spending
      - Should electric utilities put more money into joint programs if gas is limited and electric is still cost-effective?
    - Maximize savings?
    - Funds allocated according to class contribution or more generally?
    - “Balanced” Portfolio of options for customers
    - Roles that Potential Studies play in determining the allocation
- Threshold Issue: Goals – on the electric side, will there be 3-year goals and budgets? (Keith Goerss, Ameren IL, in coordination with ComEd) – October 2015
  - This is a statutory interpretation/legal issue. Utilities need to comply with the statutory requirements.
  - Issues:
    - i. On the savings side, is it a 3-year goal? If it's a multi-year goal, how is the goal calculated?
    - ii. On the budget side, is it a plan-budget or a multi-year budget? Do those budgets change with either forecasts or actual sales data?
    - iii. Policy issue – if budgets adjust, goals should adjust accordingly.
- Portfolio Goals for Next 3-year Plans (Program Administrators)
- Changes to Current Program Design (starting point – current Portfolios) (Chris Neme, Energy Futures Group, on behalf of NRDC)
  - Are any changes needed? Is there evidence to support that change?
  - Custom Programs – how do Program Administrators come up with savings?
  - Portfolios and programs are mature – evidence-based discussion to inform changes (for example: other jurisdictions)
  - Are there any Portfolio gaps?
    - Are there programs in other Midwest jurisdictions; as well as CA; NY; MA and VT that are missing in IL?
    - Any technologies missing?
  - Maximizing savings vs. comprehensiveness
  - What specific programs could be improved?

- Are there new program ideas/new services that could be added?
  - Example: Additional description in the Plans is needed on demonstration of “breakthrough equipment and devices.”
- Preliminary Potential Study Results for Ameren IL (Keith Goerss, Ameren IL)
- **Goals:**
  - Educate SAG; address stakeholder questions. Consensus stakeholder input on threshold planning issues.
  - Discuss consensus stakeholder input on areas of existing Portfolio to scale-up/scale down. Identify current Programs that potentially could be improved.

**Follow-up modeling teleconferences on Clean Power Plan (to be scheduled in October):**

- Follow-up teleconference on Illinois Energy Roadmap Modeling
- Follow-up teleconference training on using the M.J. Bradley Clean Power Plan Tool
- Clean Power Plan Model Overview (Ian Adams, Clean Energy Trust; Doug Jester, 5 Lakes Energy)

**Meeting Topics (November Days 1-2):**

- Illinois Clean Energy Finance “Tune-Up” Memo (Molly Lunn, the Department)
- Clean Power Plan Follow-up: Draft EM&V Guidelines Overview (Rob Neumann, Navigant)
- Smart T-stat Discussion (follow-up from June 23<sup>rd</sup> SAG meeting)
  - Smart T-stat Evaluation Results – Navigant
  - Nicor Gas Emerging Technologies t-stat results – Nicor Gas
  - Smart t-stat scale-up in next 3-year Plan? (Todd Thornburg, ComEd)
- Presentations on Proposed New Program Ideas (Specific topics/presenters TBD – Proposed Program Idea Templates due on or before 11/9/15)
- **Goals:**
  - Educate SAG on proposed new program ideas/initiatives.
  - Consensus stakeholder input on which new initiatives should be included in Portfolio Plans.

**December (SAG meeting +1 – Monday/Tuesday, December 14<sup>th</sup> – 15<sup>th</sup>)**

- *Purpose: Continue seeking to reach consensus on threshold “up front” issues. To educate SAG on proposed new initiatives; answer questions and concerns; determine if any additional research is needed. Discuss consensus on new initiatives to be included in Portfolio Plans. Follow-up on October/November new initiative presentations, as needed.*

**Meeting Topics (Days 1)**

- Street lighting – Ameren IL and Department Programs (Keith Goerss, Ameren IL; Molly Lunn, Department)
  - Current programs.
  - Is there an opportunity for street lighting in the next Portfolio Plans?
- Presentations on Proposed New Program Ideas (Specific topics/presenters TBD – Proposed Program Idea Templates due on or before 11/9/15)
- **Goals:**
  - Educate SAG on proposed new program ideas/initiatives.
  - Consensus stakeholder input on which new initiatives should be included in Portfolio Plans.



### **Meeting Topics (Day 2):**

- Additional presentations on Proposed New Program Ideas (Specific topics/presenters TBD – Proposed Program Idea Templates due on or before 11/9/15)
- Multifamily Program Opportunities; EE for All Recommendations (Presenter TBD)
- Follow-up on energy policy issues, following IL veto session, if applicable (Annette Beitel, SAG Facilitator)
  - IL Legislation Changes
  - Additional policy issue: expansion of gas funds (requires legislation).
- **Goals:**
  - Discuss stakeholder input and consensus on issues.
  - Achieve common understanding about how new energy policies will impact Portfolio planning, if applicable.

### **2016 Meetings**

Proposed presenters are identified in parenthesis. The purpose of each meeting is described prior to the list of meeting topics. Goals for each meeting are listed below meeting topics. Deliverables and due dates can be found in the Portfolio Project Plan. Monthly schedules also include “regular” SAG topics, as applicable. “Regular” SAG topics are highlighted **in yellow**.

#### **January (SAG Meeting +1) (Monday/Tuesday; January 25<sup>th</sup> – 26<sup>th</sup>)**

- *Purpose: Seek to reach consensus on portfolio issues, including which programs will be included as Illinois Power Agency programs; low-income funding; and budget allocation to “low income” and “moderate income” customers.*

#### **Meeting Topics (Days 1-2):**

- IPA – which programs will be included as Illinois Power Agency Programs through Section 16-111.5B? (Annette Beitel, EE SAG Facilitator)
- Low-Income Funding and Budget Allocation (Karen Lusson, IL AG)
  - How much \$ is going to low income?
  - What percent of IL customers are low-income?
  - Are they getting fair share of funds back given the amount currently going to the Department?
  - Allocation should be proportionate to low income in the customer base.
  - Defining “low income” and “moderate income” customers
    - Expansion of the low income customer definition.
    - What does “moderate income mean”? What is the purpose of offering programs to this particular subset of customers
  - Should there be effort to identify how much these populations contribute? Yes.
  - Policy Issue: How much to allocate to low/moderate income? – At a minimum, proportionate share to customer population.
    - Is this concern the share of low/moderate income population, or the share of the total population?
  - It is possible to offer less efficient equipment for low-income programs and achieve greater savings. (For ex: A 95% AFUE furnace saves apx. 3% more gas than a 92% AFUE furnace, however the cost is apx. 20-25% more). Can the low-income programs serve more customers with their budget if this approach is utilized?
- Fostering IL-based businesses and WMBE businesses (Annette Beitel, SAG Facilitator)
  - Non-profits, WMBEs, etc.

- Successful approaches in other jurisdictions
- Leveraging Other Players (cities, non-profits, etc.) (Annette Beitel, EE SAG Facilitator)
- High-level Portfolio Budgets (Program Administrators)
- **Goal:**
  - Discuss stakeholder input and consensus on issues.

**February (SAG Meeting + 1 day; Monday/Tuesday, February 22<sup>nd</sup> – 23<sup>rd</sup>)**

- *Purpose: Educate SAG on results of Potential Studies. Address stakeholder questions; determine if there is any follow-up needed. SAG feedback on Department programs (ICC directive).*

**Meeting Topics (Day 1):**

- Department of Commerce and Economic Opportunity presents high level Potential Study results (Molly Lunn, the Department)
- SAG feedback on Department Programs – ICC directive (Annette Beitel, SAG Facilitator; Various)
- Market Transformation (Molly Lunn, the Department)
  - Question to address: Are current market transformation efforts maximizing market transformation?
  - New initiatives.
  - Training
  - Labeling and disclosure of efficiency at time-of-sale
  - Rental energy codes (Boulder, CO and Burlington, VT examples)
- **Goals:**
  - Educate SAG on the Department's Potential Study results; address stakeholder questions; discuss how results will impact Portfolio Plan.
  - Stakeholders provide feedback on Department Programs.
  - Seek consensus on market transformation initiatives to pursue.

**Meeting Topics (Day 2):**

- Program Administrators (electric and gas) present on high level Potential Study results.
  - Economically Efficient Potential – what are Program Administrators doing with this information? How will this be considered in Plan development?
- **Goals:**
  - Educate SAG on utility Potential Study results; address stakeholder questions; discuss how results will impact Portfolio Plans.

**March (SAG Meeting, Tuesday, March 22<sup>nd</sup>)**

- *Purpose: Educate SAG on high level Program Administrator Portfolio Plans. Address stakeholder questions and concerns; determine if additional follow-up is needed.*

**Meeting Topics:**

- Program Administrators present on high level Portfolio Plans<sup>9</sup>, including:
  - List of programs.
  - Identification of any new programs.
  - Detail regarding any major program changes.
  - Rough allocation between residential and non-residential programs.
  - Preliminary savings and budget.

---

<sup>9</sup> Margin of error for savings and budget is plus/minus 30%.

- **Goals:**
  - Discuss questions and build consensus with stakeholder input on issues.

**April (SAG meeting + 1 day; Monday/Tuesday April 25<sup>th</sup> – 26<sup>th</sup>)**

- *Purpose: To educate SAG on plan issues; address questions; build consensus.*

**Meeting Topics (Days 1-2):**

- **EM&V Resources and Planning:** (Annette Beitel, SAG Facilitator; Various)
  - Are we allocating EM&V resources in an ideal way? If not, are there any fundamental changes that need to be considered?
    - i. How much money impact vs. process?
    - ii. Forward looking vs. bean counting?
    - iii. Do we need simple discussion annually about forward-looking EM&V?
  - Smart Grid – are we fully leveraging deployment of smart meters through EE? (This question needs to be addressed within the context of where each utility will be in terms of service territory installation rates.)
  - Are we allocating EM&V resources in an ideal way? If not, are there any fundamental changes that need to be considered?
- **CUB Proposal** (Kristin Munsch, CUB)
- Additional topics TBD.
- **Goals:**
  - Educate SAG.
  - Discuss questions and feedback.

**May (SAG meeting + 2 days; Monday/Tuesday/Wednesday; May 16<sup>th</sup> – 18<sup>th</sup>)**

- *Purpose: To educate SAG on draft Portfolio Plans (electric and gas; Department of Commerce and Economic Opportunity); address questions and concerns; determine if any additional work is needed; build consensus on Plans.*

**Meeting Topics (Day 1):**

- Utilities (electric) and the Department present draft programs in high-level template form<sup>10</sup>: budget; Portfolio results (savings and cost-effectiveness) (Keith Goerss, Ameren IL; George Malek, ComEd)
  - DSMore Run No. 1
  - Existing Programs – note key changes
  - New Programs – provide more detail
  - Expected Costs for various categories (EM&V, M&O, etc. – using Policy Manual categories)
  - Identify all gas-electric and inter-Program Administrator coordination opportunities
  - Review Cost-Effectiveness Inputs.
- **Goals:**
  - Discuss questions and build consensus with stakeholder input on Plans.
  - Feedback from group on DSMore Run 1
  - Reach agreement on the NTG ratios used in the Plan filing.

**Meeting Topics (Day 2):**

- Continue discussion of electric utility draft Portfolio Plans, if needed.

---

<sup>10</sup> Margin of error for savings and budget is plus/minus 10%.

- Department of Commerce and Economic Opportunity presents draft programs in high-level template form (Molly Lunn, Department)
  - DSMore Run No. 1
  - Existing Programs – note key changes
  - New Programs – provide more detail
  - Expected Costs for various categories (EM&V, M&O, etc. – using Policy Manual categories)
  - Identify all gas-electric and inter-Program Administrator coordination opportunities
  - Review Cost-Effectiveness Inputs.
- **Goals:**
  - Discuss questions and build consensus with stakeholder input on Plans.
  - Feedback from group on DSMore Run 1
  - Reach agreement on the NTG ratios used in the Plan filing.

### **Meeting Topics (Day 3):**

- Utilities (gas) present draft programs in high-level template form; budget; Portfolio results (savings and cost-effectiveness) (Jim Jerozal, Nicor Gas; Pat Michalkiewicz, Peoples Gas-North Shore Gas)
  - DSMore Run No. 1
  - Existing Programs – note key changes
  - New Programs – provide more detail
  - Expected Costs for various categories (EM&V, M&O, etc. – using Policy Manual categories)
  - Identify all gas-electric and inter-Program Administrator coordination opportunities
  - Review Cost-Effectiveness Inputs
- **Goals:**
  - Discuss questions and build consensus with stakeholder input on Plans.
  - Feedback from group on DSMore Run 1
  - Reach agreement on the NTG ratios used in the Plan filing.

### **June (SAG meeting + 1 day; Monday/Tuesday, June 27<sup>th</sup> and June 28<sup>th</sup>)**

- *Purpose: Final consensus-building (electric and Department)) on final draft Portfolio Plans, consent agreement, and Comparison Exhibit. Continue consensus-building on final draft Gas Portfolio Plans.*

### **Meeting Topics (Day 1):**

- TRM Version 6.0 – Discussion of Priority Measures (TRM Administrator)
- Discuss updated draft electric and Department Portfolio Plans and build consensus with stakeholder input.

### **Meeting Topics (Day 2):**

- Final stakeholder consensus-building on electric and Department Portfolio Plans, consent agreement, and Comparison Exhibit.
- **Goals:**
  - Reach consensus stakeholder input on:
    - Consent Agreements
    - Comparison Exhibit of Non-Consensus Issues (as needed), summarizing different positions/rationale.

**July (SAG meeting; Tuesday, July 26<sup>th</sup>)**

- *Purpose: Continue discussing stakeholder input on final draft gas Portfolio Plans, consent agreements and Comparison Exhibits.*

**Meeting Topics:**

- Discuss updated draft gas Portfolio Plans and build consensus with stakeholder input.
- **Goals:**
  - Build consensus stakeholder input on:
    - Consent Agreements
    - Comparison Exhibit of Non-Consensus Issues (as needed), summarizing different positions/rationale.

**August (No SAG meetings)**

**September (Early SAG meeting; Tuesday, September 6<sup>th</sup>)**

- *Purpose: Finalize gas consent agreements and Comparison Exhibits by September 15.*

**Meeting Topics:**

- Final stakeholder consensus-building on gas Portfolio Plans, consent agreement, and Comparison Exhibit.
- **Goals:**
  - Reach consensus stakeholder input on:
    - Consent Agreements
    - Comparison Exhibit of Non-Consensus Issues (as needed), summarizing different positions/rationale.

## Attachment D: Templates<sup>11</sup>

This attachment includes the following templates:

- Template D1: Existing Program Performance Template (completed)
- Template D2 Proposed New Program Idea Template (completed)
- Template D3: Proposed Program Change / Portfolio Suggestion Template (completed)
- Template D4: Proposed Policy Template (completed)
- Template D5: High-Level Portfolio Template (draft in process; for discussion in March)
- Template D6: Final Program Filing Template (to be developed; for discussion in May)
- Template D7: Portfolio Template (to be developed; for discussion in May)
- Template D8: Stipulation Template (draft in process)

### Template D1: Existing Program Performance Template

Illinois Program Administrators completed the Existing Program Performance Template in September 2015, to provide an overview of the most recent program year (Electric Program Year 7 and Gas Program Year 4). Completed templates were discussed at the September 26-27 SAG meeting.<sup>12</sup> This template can be downloaded on the SAG Portfolio Planning Process page of the SAG website.<sup>13</sup>

### Template D2: Proposed New Program Idea Template

**Instructions:** Program Administrators, stakeholders, and any interested SAG participant with a proposed new program idea or program/portfolio suggestion must submit a completed Proposed New Program Idea Template or Proposed Program Change / Portfolio Suggestion Template to SAG Facilitation (Celia.Johnson@FutEE.biz) no later than COB on November 9, 2015.

New program ideas include the following:

1. A new EE program not currently offered in Illinois through 8-103/8-104 or 16.111B funding;
2. A new high impact EE measure not currently offered in Illinois (high impact = an estimated impact of greater than 1% of an EE Portfolio); or
3. A significant proposed change to a current Illinois EE program that would impact an entire Portfolio.

Please note: SAG participants submitting ideas under categories 1 or 2 must submit the Proposed New Program Idea Template (below). SAG participants submitting an idea under category 3 must submit the Proposed Program Change / Portfolio Suggestion Template (tab 2).

SAG participants are expected to make a good faith effort to fill out as much information as possible in the template(s) by the due date. At a minimum, please provide responses in the starred (\*) sections. Additional information may be provided at a later time, if needed. SAG Facilitation may follow-up and request additional information after templates are submitted. Templates submitted after the November 9 deadline may not be considered due to time constraints. If you need help filling out the Proposed New Program Idea Template or researching required information, contact SAG Facilitation for assistance ([Celia.Johnson@FutEE.biz](mailto:Celia.Johnson@FutEE.biz)). Discussion on new program ideas will be held at the November and December 2015 SAG meetings.

<sup>11</sup> Additional templates are forthcoming.

<sup>12</sup> See September 28-29 Meeting Materials. IL EE SAG Website. Available at: [http://www.ilsag.info/mm\\_2015\\_9-28\\_and\\_29.html](http://www.ilsag.info/mm_2015_9-28_and_29.html).

<sup>13</sup> See SAG Portfolio Planning Process: <http://www.ilsag.info/portfolio-planning-process.html>

Template D2: Proposed New Program Idea Template	
<b>Program Name* (or Measure name)</b>	<i>Add the program name.</i>
<b>Program / Measure Description*</b>	<i>Describe the proposed program, including the sector, customer eligibility, purpose of the program</i>
<b>Background*</b>	<i>Describe where this program has been successful in other jurisdictions, including the jurisdiction, eligible customers, participation achieved, savings achieved, and any other background information that will help SAG participants understand this program idea. Provide sources, including links to reports addressing this program idea, as applicable.</i>
<b>Program / Measure Duration*</b>	<i>Provide the start and end date. Is this program / measure intended to be offered for the duration of the 3-year plan or as a pilot program?</i>
<b>Estimated Budget*</b>	<i>Include the total estimated budget for each Program Year.</i>
<b>Estimated Participation (Optional)</b>	<i>Include participation totals for each Program Year, if known (i.e. customer, number of measures installed, etc.).</i>
<b>Savings Targets*</b>	<i>Include proposed savings targets for each Program Year.</i>
<b>Collaboration*</b>	<i>Describe whether this program will collaborate with other utility EE programs.</i>

<b>Template D2: Proposed New Program Idea Template</b>	
<b>Delivery Strategy*</b>	<i>Provide implementation information including but not limited to types of services offered through the program, quality assurance and control procedures that will be used.</i>
<b>Target Market*</b>	<i>Describe the customer segment that will be targeted for this program.</i>
<b>Marketing Strategy*</b>	<i>How will this program be promoted? Through existing or new channels?</i>
<b>Eligible Measure(s)*</b>	<i>Include a list of proposed measures and incentive per measure. Attach a spreadsheet that provides, by program year: the measure, minimum efficiency required, expected participation, incremental cost, savings, and NTG used in analysis.</i>
<b>Program Tracking (if applicable)*</b>	<i>In what program will energy savings and costs for this program be tracked?</i>
<b>Cost per Energy Saved</b>	<i>Using high level costs and savings, estimate cost per energy saved first year and measure life</i>
<b>Replacement*</b>	<i>Based on your current knowledge, since resources are limited, what program budget should be decreased for make room for this program? Why?</i>
<b>Appendices*</b>	<i>Provide additional information, as needed.</i>



### Template D3: Proposed Program Change / Portfolio Suggestion Template

**Instructions:** Program Administrators, stakeholders, and any interested SAG participant with a proposed new program idea or program/portfolio suggestion must submit a completed Proposed New Program Idea Template or Proposed Program Change / Portfolio Suggestion Template to SAG Facilitation (Celia.Johnson@FutEE.biz) no later than COB on November 9, 2015.

New program ideas include the following:

1. A new EE program not currently offered in Illinois through 8-103/8-104 or 16.111B funding;
2. A new high impact EE measure not currently offered in Illinois (high impact = an estimated impact of greater than 1% of an EE Portfolio); or
3. A significant proposed change to a current Illinois EE program that would impact an entire Portfolio.

Please note: SAG participants submitting ideas under categories 1 or 2 must submit the Proposed New Program Idea Template (tab 1). SAG participants submitting an idea under category 3 must submit the Proposed Program Change / Portfolio Suggestion Template (below).

SAG participants are expected to make a good faith effort to fill out as much information as possible in the template(s) by the due date. At a minimum, please provide responses in the starred (\*) sections. Additional information may be provided at a later time, if needed. SAG Facilitation may follow-up and request additional information after templates are submitted. Templates submitted after the November 9 deadline may not be considered due to time constraints. If you need help filling out the Proposed New Program Idea Template or researching required information, contact SAG Facilitation for assistance (Celia.Johnson@FutEE.biz). Discussion on new program ideas will be held at the November and December 2015 SAG meetings.

Template D3: Proposed Program Change / Portfolio Suggestion Template	
<b>Description of Program Change / Portfolio Suggestion</b>	<i>Describe the proposed program change, including the purpose of the change.</i>
<b>Program Administrators</b>	<i>List which programs and Illinois Program Administrators would be impacted.</i>
<b>Background</b>	<i>Describe where the program change idea originated from. Is this program change successful in other jurisdictions? Provide specific background information that will help SAG participants understand the proposed change. Provide sources, including links to reports addressing this program change, as applicable.</i>

<b>Template D3: Proposed Program Change / Portfolio Suggestion Template</b>	
<b>Positive Impact</b>	<p><i>Describe how this program change will have a positive impact on programs/Program Administrators in Illinois.</i></p> <p><i>Example questions: What issue will this proposed change resolve? Will the proposed change increase participation and result in increased energy savings? Will this reduce costs? Will this increase customer satisfaction? Will this help achieve statutory goals? Will this help increase program penetration? How much additional market share do you estimate this change will impact?</i></p>
<b>Implementation</b>	<p><i>Describe how and when the program change should be implemented.</i></p>
<b>Appendices</b>	<p><i>Provide additional information, as needed.</i></p>

#### **Template D4: Proposed Policy Template**

**Instructions:** Program Administrators, stakeholders, and any interested SAG participant with a proposed policy to be addressed that is not included in Policy Manual Version 1.0 must submit a completed Proposed Policy Template to SAG Facilitation (Celia.Johnson@FutEE.biz) no later than COB on Friday, December 4, 2015.

SAG participants are expected to make a good faith effort to fill out as much information as possible in the template by the due date. Additional information may be provided at a later time, if needed. SAG Facilitation may follow-up and request additional information after templates are submitted. Templates submitted after the December 4 deadline may not be considered due to time constraints. If you need help filling out the Policy / Issue Template or researching required information, contact SAG Facilitation for assistance.

Discussion of proposed policies will take place through the Policy Manual Subcommittee Version 2.0 process (expected to begin in January 2016). Contact SAG Facilitation to join the Policy Manual Subcommittee.

<b>Template D4: Proposed Policy Template</b>	
<b>Policy Description</b>	<p><i>Describe the policy / issue to be discussed.</i></p>

<b>Template D4: Proposed Policy Template</b>	
<b>Market Impact</b>	<i>Describe who this policy / issue impacts. Does this policy impact all Illinois Program Administrators?</i>
<b>Background Research</b>	<i>Provide any background research completed in preparing this template, including source references and links, as applicable. How do other jurisdictions address this policy? Has ACEEE, NASEO, MEEA or any other national or regional energy efficiency organization addressed this topic? If so, please provide reports and any other relevant sources.</i>
<b>Statutory Consistency</b>	<i>Have you reviewed your proposed policy against applicable Illinois statutes? Are there any possible conflicts? If so, please provide a citation and explanation.</i>
<b>Commission Directives (if applicable)</b>	<i>Has the Illinois Commerce Commission previously ruled on this policy or issue? If so, please provide language and specific citations, including the ICC docket number.</i>
<b>Proposed Resolution</b>	<i>Describe a proposed resolution for this policy / issue, including any next steps that may be necessary.</i>  <i>Note: After this policy issue is discussed at SAG, you may be asked to provide draft policy language.</i>
<b>Appendices</b>	<i>Provide additional information, as needed.</i>

**Template D5: High-Level Portfolio Template – draft in process**

To be discussed in March 2016.

**Template D6: Final Program Filing Template – to be developed**

To be discussed in May 2016.

**Template D7: Portfolio Template – to be developed**

To be discussed in May 2016.

**Template D8 – Stipulation Template – draft in process**