**Illinois Energy Efficiency Stakeholder Advisory Group**

**Subcommittee Plan: 2017 Planning Process Q1/Q2**

**Draft (updated 3/16/2016)**

|  |  |
| --- | --- |
| Subcommittee | Participants |
| Facilitation Team | Annette Beitel and Ashley Palladino, Future Energy Enterprises |
| Subcommittee Members:  Utilities | * Ameren IL * ComEd * Nicor Gas * Peoples Gas – North Shore Gas (PG-NSG) |
| Subcommittee Members:  Non-Utility and Non-Financially Stakeholders | * Citizens Utility Board * Environmental Law & Policy Center (tentative) * ICC Staff * IL Attorney General’s Office * Natural Resources Defense Council |
| Other Participants | * 360 Energy Group * ADM Associates * AM Conservation Group * Applied Energy Group (AEG) * Building Research Council, University of Illinois Urbana - Champaign * Cadmus * Chicago Bungalow Association * City of Chicago * City of Urbana * CLEAResult * Community Investment Corporation * Delta Institute * Department of Commerce and Economic Opportunity (DCEO) * DNVGL * Domus PLUS * Elevate Energy * Embertec * Energy Resources Center, University of Illinois at Chicago * First Fuel * Franklin Energy * Gas Technology Institute * GDS Associates * Illinois Science & Energy Innovation Foundation * Itron * Leidos * Lockheed Martin * MCR Performance Solutions * Metropolitan Mayors Caucus * Midwest Energy Efficiency Alliance * Navigant * Opinion Dynamics * Resource Innovations * SEDAC * Seventh Wave * VEIC * West Monroe Partners |
| Final Deliverable(s) | SAG Facilitation will create the following documents:   * Planning Process Report   Process also seeks to yield:   * Stipulations on agreements consensus issues between non-financially interested parties and select utilities (ComEd, Nicor Gas, PG/NSG). Consensus issues will include:   + Portfolio budgets and savings   + Program budgets and savings * Stipulations on agreements between utilities and interested parties (with emphasis on non-financially interested parties), which may include other issues and proposals related to the statute and planning. See Section 2.0, below, and tracker, for list of issues. |
| Commencement Date | January, 2017 |
| Conclusion Date | June, 2017 |

1. **Subcommittee Objectives**

The key objective of the 2017 Planning Process will be to reach settlements on all or some of the issues related to the energy efficiency provisions of the legislation (SB2814), planning process for Electric Plan 4 / Gas Plan 3 and the portfolio and program budgets, savings and high-level program design[[1]](#footnote-1).

The planning process and discussions may raise other related questions or issues that the group may address to facilitate the complete planning process and operationalizing of the new Electric Plan 4 / Gas Plan 3, to be filed by each utility by June 30, 2017.

Seven in-person large-group meetings will be held January through June, 2017. An overview of each meeting and the issues to be discussed are described below.

1. **Disclaimer**

Illinois Energy Efficiency Stakeholder Advisory Group (SAG) discussions are intended to be in the nature of settlement discussions. As a matter of general agreement, written and/or oral positions or statements made during SAG meetings shall not be used by any party to contradict or impeach another party’s position, or prove a party’s position, in a Commission proceeding.

1. **Consensus Discussions Limited to Non-Financially Interested Parties**

***Stakeholder Participation/Participation Restrictions for Financially-Interested Parties.*** Attendance and participation in the large-group 2017 Planning Process is open to all interested stakeholders. However, there may be agenda items during this process that require open discussion between Program Administrators and non-financially interested stakeholders, involving confidential and/or proprietary information. Confidential and/or proprietary topics will be identified by the SAG Facilitation Team in advance. Participants with a financial interest (e.g. current and prospective program implementers, contractors, and product representatives) must recuse themselves from attending confidential and/or proprietary meetings. For the purposes of the 2017 Planning Process Subcommittee, SAG participants who expect to bid into Electric Plan 4 / Gas Plan 3 procurement shall identify and recuse themselves from discussions.

1. **Schedule**

|  |  |
| --- | --- |
| **Date** | **Agenda / Objectives** |
| **Meeting #1**  Tuesday, January 24, 2017 10:30AM – 4:30PM (in-person meeting) | * Planning process kick-off * Legislation review * Utility presentations, bridge period and 4-year plan (initial budget, savings, approach) |
| **Meeting #2**  Tuesday, January 31, 2017 10:30AM – 4:30PM (in-person meeting) | * DCEO current programs presentation ***(non-financially interested stakeholders only)*** * Low income, public sector, market transformation statutory language review, current programs’ best practices presentation and discussion * Low income statutory review |
| **Meeting #3**  Tuesday, February 21, 2017 10:30AM – 4:30PM (in-person meeting) | * Policy Manual edits review * Market transformation:   + Best practices, opportunities * Open Issues Discussion:   + EULs, savings methodology   + Persisting savings methodology   + Spending cap allowance   + Social discount rate   + Public sector eligibility   + Public housing definition   + NEBs |
| **Meeting #4**  Tuesday, March 14, 2017 10:30AM – 4:30PM (in-person meeting) | * High-level draft program-level detail presentations ***(ComEd, PG-NSG, Ameren IL)*** * Integration of AMI Data into Portfolios * Open Issues Discussion:   + Policy Manual   + Adjustable Savings Goals * Status of current IPA programs for Bridge Period ***(non-financially interested stakeholders only)*** – delayed to separate teleconference |
| **Meeting #5**  Monday, May 1, 2017 and Tuesday, May 2, 2017 10:30AM – 4:30PM (2-day, in-person meetings) | * Draft program-level detail presentations:   + Budget, savings, TRC, new measures and significant changes to delivery mechanisms * Draft changes to Stipulations * Policy Manual final review |
| **Utility Review Teleconferences**  April 25 – May 31, 2017  Order of review:   1. ComEd 2. PG/NSG 3. Nicor Gas 4. Ameren IL | Staggered review/discussion of program-level detail, including batch files and small group teleconferences between Program Administrators and non-financially interested stakeholders to reach agreement.  Administrators provide batch files[[2]](#footnote-2) prior to small group meetings (meeting dates to be scheduled):   * ComEd * PG-NSG * Nicor Gas * Ameren   *Participation will be pursuant to a signed NDA for PG/NSG, Nicor Gas and Ameren IL.*  Meetings may occur as early as March, 2017. |
| **Meeting #6**  Tuesday, May 23, 2017 10:30AM – 4:30PM  (in-person meeting) | * Update on portfolio plans, including program-level budgets, savings and high-level program design/significant changes to delivery mechanisms * Update on other agreements related to statute and planning process * Open Issues Discussions ***(tentative/as needed)*** |
| **Meeting #7**  Tuesday, June 20, 2017  10:30AM – 4:30PM (in-person meeting) | * Final Portfolio plans, including program-level budgets, savings and high-level program design / significant changes to delivery mechanisms * Final update on other agreements related to statute and planning process |

1. **Description of Issues**

The Subcommittee has established the initial questions detailed below. This list will be modified as needed throughout the planning process as new questions arise.

1. **Section 1.0: “Bridge” / Transition Period**
   1. **Bridge Period IPA/Third Party Programs:**
      1. Summary:
         1. Legislation indicates all Electric Plan 3 IPA programs will conclude / contracts void 5/31/2017.
         2. Select stakeholders have indicated interest in seeing all current programs extended through bridge period, 12/31/2017.
         3. Utilities to individually evaluate contracts to determine which are to be extended/concluded.
      2. Outstanding Questions/Items:
         1. Which IPA programs (contracts) will be extended through 12/31/2017?
         2. Select stakeholders have requested utilities share rationale for extending/not extending existing IPA programs.

**ACT 1:** Utilities’ presentation of IPA bridge period plans for non-financially interested stakeholders.

* + 1. Timeline:
       1. Pending – small group meeting – Ameren IL and ComEd update
    2. Updates and Agreements:
  1. **DCEO Transition**
     1. Summary:
        1. Current DCEO-administered programs will be administered by utilities beginning June 1, 2017.
        2. Utilities and DCEO are coordinating the transition in meetings outside of the SAG.
     2. Outstanding Questions/Items:
        1. Select stakeholders have requested discussion on the following topics related to the DCEO programs’ transition for the Bridge period:
           1. How are 2013 ICC-approved utility portfolio plan savings and budgets being extrapolated for the Bridge period?

Including removal of 10MW customers beginning June 1, 2017

* + - * 1. How do we ensure seamless transition for DCEO programs?

Default position: Extension of existing programs and contracts

**ACT 27a:** Utility feedback requested on feasibility to continue current programs; small group teleconference to review.

* + - * 1. How will DCEO program budgets/savings be included in utility Bridge period budgets/plans?
        2. What will utility Bridge period filings look like and when will they be submitted?

**ACT 27b:** Utility and select stakeholder attorney discussions on whether a filing is required and if so, the scope and timeline.

* + 1. Timeline:
       1. 3/14/2017 – SAG meeting – update on coordination to date, initial presentation of stakeholder proposals
       2. Pending – attorney meeting on Bridge period filing to determine whether necessary.
       3. Pending – distribution of table of programs to collect utility input on feasibility to extend programs.
    2. Updates and Agreements:
  1. **Bridge Period NTG**
     1. Summary:
        1. SAG to agree on what NTG values are to be used for the bridge period, programs effective June 1, 2017 through December 31, 2017.
     2. Proposal:
        1. Net-to-Gross (NTG) values for each utility, effective Electric Program Year 9 (EPY9) and Gas Program Year 6 (GPY6), as documented on the [IL SAG website](http://www.ilsag.info/ntg_2016.html)  
           **ACT 2:** Gain agreement on using EPY9 and GPY6 NTG values for June 1, 2017 – December 31, 2017
     3. Timeline:
        1. 2/6/2017 – Request for objection distributed to SAG
        2. 2/14/2017 – Objections requested (none received)
        3. 2/15/2017 – Documentation in stipulations and SAG Facilitation Report, including copy of email request.
  2. **Bridge Period TRM**
     1. Summary:
        1. SAG to agree on what version of the IL TRM is to be used for the bridge period, programs effective June 1, 2017 through December 31, 2017.
     2. Proposal:
        1. IL Statewide Technical Reference Manual (IL TRM) Version 5.0, effective June 1, 2016, as documented on the IL SAG website, which includes the Errata Measures memo, dated January 25, 2017  
           **ACT 3:** Gain agreement on using IL TRM v5.0 for June 1, 2017 – December 31, 2017
     3. Timeline:
        1. 2/6/2017 – Request for objection distributed to SAG
        2. 2/14/2017 – Objections requested (none received)
        3. 2/15/2017 – Documentation in stipulations and SAG Facilitation Report, including copy of email request.

1. **Section 2.0: Electric Plan 4 / Gas Plan 3 Outstanding Items**
   1. **Policy Manual**
      1. Summary:
         1. Policy Manual to be updated and submitted for ICC approval by June 1, 2017 to address essential changes for new legislation.
         2. ICC Staff reviews for necessary/recommended changes required to comply with EE legislation (complete).
         3. SAG review of proposed changes.
      2. Outstanding Questions/Items:
         1. Writing team reviews/discusses marked up version
         2. SAG reviews/discusses final version
         3. After plans have been filed, SAG to revisit further edits needed, process for review/implementation.

**ACT 4a:** Complete Policy Manual updates, with SAG approval, by 5/5/2017.

**ACT 4b:** Gain consensus on post-filing review of full Policy Manual.

* + 1. Timeline:
       1. 2/21/2017 – Initial review of proposed changes
       2. 2/28/2017 – Policy Manual subcommittee meeting
       3. 2/21/2017 – 3/21/2017 – SAG review
          1. Discussion in 3/14/2017 meeting, if needed
          2. Comments due 3/21/2017
       4. 4/10/2017 – Revised copy distributed
       5. 4/21/2017 – Final comments due
       6. 4/25/2017 – Discussion in SAG meeting
       7. 5/5/2017 – Final copy distributed to SAG
       8. 5/12/2017 – Objections due
       9. 6/1/2017 Submit to the ICC for approval
    2. Updates and Agreements:
       1. 2/21/2017 – reviewed initial edits
       2. 2/28/2017 – Policy Manual subcommittee meeting to complete draft review scheduled
       3. 3/2/2017 – Round 1 distributed for SAG review
       4. 3/14/2017 – SAG meeting discussion on open items – social discount rate, adjustable savings goals for electric utilities
  1. **NTG updates**
     1. Summary:
        1. Following Policy Manual schedule, NTG updates to be completed by 3/1/2017 (PG-NSG Home Energy Rebate – Duct Sealing and Weatherization Measures are pending).
        2. New programs being included in filed plans will require NTG proposals.
     2. Outstanding Questions/Items:
        1. Are any new programs being included that would require new NTG ratios to be proposed by evaluators?  
           **ACT 5**: Gain agreement on approach to NTG values for new programs. n/a if no new programs
     3. Timeline:
        1. 2/1/2017 – Evaluators submit initial recommendations for deemed NTG ratios and rationale (per Policy Manual)
        2. 2/7/2017, 2/21/2017 and 2/28/2017 – NTG discussions held outside of SAG full-group meetings
        3. 3/1/2017 – Final NTG
     4. Updates and Agreements:
        1. 2/7/2017: NTG for new programs –
           1. No new programs yet to be identified.
           2. Stakeholders agreed that if new program does not fit into existing, default value of 0.80 will be used.
        2. 3/1/2017: Final NTG evaluator recommendations distributed, pending the PG-NSG Home Energy Rebate – Duct Sealing and Weatherization Measures value which will be finalized no later than 5/30/2017.
  2. **IL TRM updates**
     1. Summary:
        1. Following existing schedule, TRM updates to be completed by 3/1/2017
     2. Outstanding Questions/Items:
        1. None
     3. Timeline:
        1. 1/25/2017 – Final version distributed for review, comments due 2/1/2017
        2. 2/8/2017 – Final Consensus Updated released
        3. 2/8/2017-2/15/2017 – Objection period for Stakeholders.
     4. Updates and Agreements:
        1. 3/1/2017 – Docket opened for ICC approval of the IL TRM v6.0
  3. **Effective Useful Life (EUL)**
     1. Summary:
        1. Most stakeholders agree EULs are often not based on robust studies/data. Many EULs come from DEER, which are often very outdated and based on measures that have evolved over time.
        2. Legislative switch from annual to cumulative persistent goals highlights the preference of many stakeholders to have up-to-date and evidence-based EULs, particularly for High Impact Measures.
        3. Legislative switch from annual to cumulative persistent goals also presents the question of how EULs are handled over time with EM&V findings, TRM changes, etc.
     2. Outstanding Questions/Items:
        1. Will EULs be consistent over time? Options to consider:
           1. EULs will be **fixed at time of measure installation**, will not be retrospectively adjusted.
           2. EULs will be **fixed at time of plan filing**, not altered during plan.
           3. EULs will be **retroactively adjusted** based on new EM&V studies.

**ACT 6a:** Gain consensus on approach to EUL methodology.

* + - 1. Select stakeholders have requested EM&V consider allocating more funds to EUL research.
      2. EM&V planning process to consider which measures to focus on initially.  
         **ACT 6b:** Gain consensus on plans for gaining stakeholder consensus on EUL research and funding.
    1. Timeline
       1. 2/21/2017 – meeting discussion on methodology for applying EULs, EM&V approach
    2. Updates and Agreements:
       1. 2/21/2017 – discussion to use EULs fixed at time of measure installation. Open items:
          1. Timing and frequency of revisions to EULs
          2. Deemed versus custom
          3. Policy Manual revisions to address
       2. Pending – teleconference to review open items
  1. **Savings Values**
     1. Summary:
        1. Legislative switch from annual to cumulative persistent goals presents the question of how savings are handled over time with EM&V findings, TRM changes, etc.
     2. Outstanding Questions/Items:
        1. Will savings values be consistent over time? Options to consider:
           1. Savings values will be **fixed at time of measure installation**, will not be retrospectively adjusted.
           2. Savings values will be **fixed at time of plan filing**, not altered during plan.
           3. Savings values will be **retroactively adjusted** based on new EM&V studies.

**ACT 7:** Gain consensus on approach to how savings are applied.

* + 1. Timeline
       1. 2/21/2017 – meeting discussion on methodology for applying savings
    2. Updates and Agreements:
       1. 2/21/2017 – SAG meeting discussion.
       2. Pending – teleconference to review
  1. **Persisting Savings Calculations**
     1. Summary:
        1. Legislation changes to persisting savings, discussion required on changes, assumptions, methodology
     2. Outstanding Questions/Items:
        1. Methodology for calculating persisting savings

**ACT 8:** Gain consensus on approach, methodology and assumptions for calculating persisting savings.

* + 1. Timeline
       1. 2/21/2017 – meeting discussion on persisting savings methodology
    2. Updates and Agreements:
       1. 2/21/2017 – SAG meeting discussion to track at measure level. Open items:
          1. Exceptions (e.g., new construction, whole home/building, behavioral, SEM)
          2. Full measure parameters for use in calculating persisting savings (savings, EULs, IMC, NTG)
       2. Pending – teleconference to review open items
  1. **Voltage Optimization (VO)**
     1. Summary:
        1. Ameren IL study to determine VO
        2. Filing of VO plans
        3. Select stakeholders indicated preference that priority be given to low-income communities that would benefit the most from cost reductions.
     2. Outstanding Questions/Items:
        1. How can/will utilities identify low-income communities for VO? AMI by census tract?

**ACT 9a:** Discuss opportunities for identifying, gain consensus on approach.

* + - 1. Stakeholder discussion on targeted locations.

**ACT 9b:** Stakeholder comment on sites selected.

* + 1. Timeline
       1. SAG meeting TBD – initial presentation of approaches
  1. **Spending Cap Allowance**
     1. Summary:
        1. Programs cannot manage budget such that the last dollar is spent on the last day of the plan year. In previous years, ComEd had a spending cap allowance of 15% above budget.
     2. Outstanding Questions/Items:
        1. Can utilities utilize a spending cap allowance? If yes, what is the maximum spending cap?

**ACT 10a:** Gain consensus on ability to use and amount of spending cap allowance.

* + - 1. How will any spending cap allowance be captured (e.g., Policy Manual 2.0)?

**ACT 10b:** Gain consensus on how spending cap allowance will be documented.

* + 1. Timeline
       1. 2/21/2017 – SAG meeting discussion
       2. 3/14/2017 – SAG meeting – electric utility presentation of proposal
       3. Pending – attorney discussions on electric utility proposals
    2. Updates and Agreements:
       1. 2/21/2017 – Initial discussion on allowance. Open item:
          1. Electric utilities to determine proposed allowance, if any, and present in March 14, 2017 meeting.
          2. SAG facilitation to schedule meeting with attorneys for input (pending).
       2. 3/14/2017 – ComEd and Ameren IL presented approach to allowance/contingency plan, further stakeholder and attorney discussions pending.
  1. **Social Discount Rate**
     1. Summary:
        1. Legislation change, required for electric utilities, optional for gas utilities
        2. “In discounting future societal costs and benefits for the purpose of calculating net present values, a societal discount rate based on actual, long-term Treasury bond yields should be used. Notwithstanding anything to the contrary, the TRC test shall not include or take into account a calculation of market price suppression effects or demand reduction induced price effects.”
     2. Outstanding Questions/Items:
        1. Many stakeholders have indicated a preference that the social discount rate should be consistent statewide.
           1. What T-bond should be used?
           2. Methodology for calculating
           3. Should it be applied to gas?

**ACT 11:** Define methodology, gain consensus on approach to use of the social discount rate.

* + 1. Timeline:
       1. 2/21/2017 meeting discussion
    2. Updates and Agreements:
       1. 2/21/2017 –
          1. Gas utilities agreed to use social discount rate.
          2. Many stakeholders agreed to use the bond that most closely matches the average measure life (currently 10-year bond).
          3. Open item: Confirmation by utilities as to what rate is being used; Policy Manual revisions.
       2. 3/14/2017 – SAG meeting – discussion on utility use of the same social discount rate, consensus not reached. Utilities to present with portfolio plans the social discount rate used for stakeholder comment.
  1. **Avoided Costs**
     1. Summary:
        1. Utilities to present assumptions and methodology used to calculate avoided costs, highlighting changes from prior plan.
     2. Outstanding Questions/Items:
        1. Assumptions and methodology to be presented by utilities

**ACT 12:** Utilities to present on avoided costs.

* + 1. Timeline:
       1. April – May dedicated utility presentations
    2. Updates and Agreements:
  1. **AMI Data**
     1. Summary:
        1. 8-103(B)(i): “When practicable, electric utilities shall incorporate advanced metering infrastructure data into the planning, implementation, and evaluation of energy efficiency measures and programs, subject to the data privacy and confidentiality protections of applicable law."
     2. Outstanding Questions/Items:
        1. How will utilities use AMI data to inform their EE plans and subsequent EM&V of those plans?

**ACT 13:** Discussion of approach, opportunities for use of AMI data in planning process.

* + 1. Timeline:
       1. ICC meeting 2/27/2017
       2. 3/14/2017 – SAG meeting discussions
    2. Updates and Agreements:
       1. 3/14/2017 – SAG meeting – initial presentation and discussion on approach to and opportunities for using AMI data in planning, implementation and evaluation. Additional discussions to be held in evaluation planning.
  1. **Third Party Programs**
     1. Summary:
        1. Programs to begin in 2019, with solicitation process completed in 2018.
           1. 8-103(B)(g)(4)(C): “utility shall propose, for Commission approval, bidder qualifications, performance measurement process, and contract structure, which must include a performance payment mechanism and general terms and conditions…”
           2. 8-103(B)(g)(4)(D): “the utility shall retain an independent third party to score the proposals received through the solicitation process…”
        2. Stakeholders interested in utilities’ plans for sector, technology and geographic areas.
     2. Outstanding Questions/Items:
        1. Plan filings will need to have placeholder yield expected from third-party programs.
           1. Yield varies based on sector/technology/geographic area

**ACT 14a:** Utilities to provide assumptions for expected yields used.

* + - 1. Plan to include details on sector, technology and geographic area.

**ACT 14b:** Stakeholder comment on third party program plans.

* + 1. Timeline:
       1. TBD – SAG meeting discussion
    2. Updates and Agreements:
  1. **Public Housing**
     1. Summary:
        1. Public housing is not defined in legislation, and is addressed in both the low income and public sector provisions.
     2. Outstanding Questions/Items:
        1. How can public housing be defined in order to comply with the legislation?

**ACT 15:** Define public housing for utility use.

* + 1. Timeline:
       1. 1/31/2017 meeting – introduction
       2. 2/21/2017 meeting – proposal and discussion
    2. Updates and Agreements:
       1. 2/21/2017 –
          1. General consensus to calculate the Public Housing budget including only Public Housing Authorities (PHAs) with the understanding that other entities (e.g. public and private affordable housing) that may be considered Public Housing are otherwise captured in the Low Income budget.
          2. Open Item – Utilities to present plans in April/May meetings:

How are Public Housing and Low Income budgets calculated, overall budgets for both

For electric utilities – does Public Housing budget include only PHAs or other entities?

For all utilities – who is served by program(s)? Low income customers, PHA’s, other.

* 1. **Public Sector:**
     1. Summary:
        1. Previous statute defined Public Sector as a specific set of entities, and DCEO has always served a broader set.
        2. Legislation states: (Electric) “…units of local government, municipal corporations, school districts, public housing, and community college districts;” (Gas) “…local government, municipal corporations, school districts, and community college districts.”
     2. Outstanding Questions/Items:
        1. What entities will be included in the new plans’ public sector offerings?

**ACT 16:** Stakeholder comments on what entities are included in public sector programs.

* + 1. Timeline
       1. 2/21/2017 – meeting discussion
    2. Updates and Agreements:
       1. 2/21/2017 –
          1. Open item – Utilities to present plans in April/May meetings on how they plan to address program offerings for public sector customers.
  1. **Low Income Tracking and Reporting**
     1. Summary:
        1. Many stakeholders encouraged utilities to maximize spending for low-income customers in their portfolios, above the minimums outlined in the legislation.
        2. Low income customer segment is likely to participate in other, non-low-income programs, which may be counted toward low-income spending above the mandated minimum thresholds.
     2. Outstanding Questions/Items:
        1. How are utilities maximizing low-income spending, consistent with the provisions in the law?

**ACT 17a:** Utility presentations of low income program scopes and budgets.

* + - 1. How can non-low-income program participation be tracked and reported on by the utilities?

**ACT 17b:** Gain consensus on tracking and reporting approach to non-low-income program participation by low-income customers.

* + 1. Timeline:
       1. **ACT 17a:** Utility presentations April – May
       2. **ACT 17b:** SAG meeting following utility filings (tentative)
    2. Updates and Agreements:
  1. **Low Income Advisory Committee**
     1. Summary:
        1. Electric utilities convening advisory committee.
        2. 8-103(B)(c): “…The electric utilities shall also convene a low-income energy efficiency advisory committee to assist in the design and evaluation of the low-income energy efficiency programs. The committee shall be comprised of the electric utilities subject to the requirements of this Section, the gas utilities subject to the requirements of Section 8-104 of this Act, the utilities' low-income energy efficiency implementation contractors, and representatives of community-based organizations.”
     2. Outstanding Questions/Items:
        1. SAG facilitation team to convene Low Income Advisory Committee as a subcommittee of the SAG, at the request of Ameren Illinois and ComEd.

**ACT 18:** Formation of the Low Income Advisory Committee as a SAG subcommittee.

* + 1. Timeline:
       1. Update pending
    2. Updates and Agreements:
       1. 1/31/2017 – IL Attorney General’s office proposed key principles for the committee:
          1. Transparency
          2. Broad stakeholder input (Ratepayer advocates should be permitted to attend)
          3. Well-documented (Consistent with provision in 8-103B(c) that requires utility low income program reporting)
       2. 3/1/2017 – ComEd and Ameren Illinois request that the Low Income Advisory Committee be formed and facilitated by the SAG facilitation team as a subcommittee of the SAG.
       3. Pending – first committee meeting
  1. **Low Income Provision – Implementation of Low-Income Programs by Not-for-Profits and Local Governments**
     1. Summary:
        1. Legislation contains provision: “…contracted, when it is practicable, to independent third parties that have demonstrated capabilities to serve such households, with a preference for not-for-profit entities and government agencies that have existing relationships with or experience serving low-income communities in the State”
     2. Outstanding Questions/Items:
        1. How can this language be operationalized by the utilities?

**ACT 19:** Stakeholder comment on utilities’ approach to complying with provision, “when practicable.”

* + 1. Timeline:
       1. 1/31/2017 – SAG meeting, IL Attorney General’s office review of legislation and proposal for operationalizing
    2. Updates and Agreements:

1. **Section 3.0: Portfolio Planning Best Practices**
   1. **New Utility Initiatives**
      1. Summary:
         1. Additional, detailed discussion required on new initiatives, including sharing of best practices and lessons learned from prior implementers and other stakeholders.
            1. Low Income
            2. Public Sector
            3. Market Transformation

**ACT 20:** Presentations and discussions from current implementers and subject matter experts.

* + 1. Outstanding Questions/Items:
       1. Subject matter expert discussion on new initiatives
    2. Timeline:
       1. 1/31/2017 – SAG meeting: current DCEO program presentations, best practices and lessons learned (low income, public sector)
       2. 2/21/2017 – SAG meeting: Market Transformation opportunities
    3. Updates and Agreements:

1. **Section 4.0: Additional Planning Items** 
   1. **TRM Measure Parameters**
      1. Summary:
         1. Some stakeholders requested SAG review processes for ensuring integrity of TRM process, especially savings values and measure life, with the following considerations:
            1. Adopt NTG process for TRM – TRM administrator has final say in areas of dispute.
            2. Ensure sufficient participation from non-financially interested parties so that savings values are not inflated or deflated.
            3. Must be clear that TRM administrator is required to exercise best professional judgment, and has final say on values, rather than just seeking majority or consensus opinion.
            4. Rules may be established that prohibit financially-interested parties from “voting” on established values.
      2. Outstanding Questions/Items:
         1. Establishment of new process and rules.

**ACT 21:** Consensus on plan for revising and implementing approach to ensuring values are neither overly optimistic or overly conservative.

* + 1. Timeline:
       1. TBD
    2. Updates and Agreements:
  1. **Adjustable Goals Template Updates**
     1. Summary:
        1. Updates required, extending from 3-year to 4-year.

**ACT 22a:** Update templates for use in revised plans.

* + - 1. Due to changes to electric utility goals (persisting savings), determination needed as to whether adjustable savings goals apply to electric goals; to be captured in Policy Manual.

**ACT 22b:** Determine if adjustable savings goals apply to electric utilities with change to persisting savings.

* + 1. Timeline:
       1. 2/21/2017 – discuss applicability to electric utilities due to change to persisting savings goals
       2. 2/28/2017 – Policy Manual subcommittee meeting
       3. 3/14/2017 – SAG meeting discussion
       4. 4/10/2017 – revised Policy Manual distributed to SAG for review
    2. Updates and Agreements:

**ACT 22b:**

* + - 1. 2/21/2017 – Open item: How modifications to the electric goals will be handled.
      2. 2/28/2017 – Policy Manual Subcommittee – proposal that modified electric goals would not be adjustable and therefore would not use the adjustable goals template. Full SAG review planned for 3/14/2017 SAG meeting.
      3. 3/14/2017 – SAG meeting discussion – consensus not reached that electric goals should not be adjustable; Policy Manual to be updated to reflect lack of settlement.
  1. **Quarterly Report Template Updates**
     1. Summary:
        1. Delaying further revisions until after plans are filed

**ACT 23:** Update Quarterly Report Templates for use in new plan year.

* + 1. Timeline:
       1. Pending – To be updated after plans are filed
    2. Updates and Agreements:
  1. **AMI Data Access for Third Parties and Evaluation**
     1. Summary:
        1. 8-103(B)(i) “When practicable, electric utilities shall incorporate advanced metering infrastructure data into the planning, implementation, and evaluation of energy efficiency measures and programs, subject to the data privacy and confidentiality protections of applicable law."
        2. Third-party access to AMI data for use in program implementation and evaluation is pending guidance.
        3. [Case 14-0507](https://www.icc.illinois.gov/docket/CaseDetails.aspx?no=14-0507) is currently exploring incorporation of open data framework for IL utilities.
     2. Outstanding Questions/Items:
        1. How can/will utilities use AMI in program implementation?
        2. How can/will AMI data be used in evaluation?

**ACT 24:** Stakeholder comment on plan for use of AMI data in implementation and evaluation.

* + 1. Timeline:
       1. Initial discussions February, 2017 with CUB
       2. ICC meeting 2/27/2017
       3. SAG meeting date 3/14/2017 – meeting discussions
    2. Updates and Agreements:
  1. **Electric utility on-bill financing**
     1. Summary:
        1. Electric utility provisions include changes to OBF offering.
     2. Outstanding Questions/Items:
        1. What are planned changes?

**ACT 25:** Electric utilities provide updates to OBF for Electric Plan 4, based on legislation changes.

* + 1. Timeline:
       1. SAG meeting date following utility filing – meeting discussions
    2. Updates and Agreements:
  1. **NEBs**
     1. Summary:
        1. Legislation does not resolve the issue of incorporating NEBs.
     2. Outstanding Questions/Items:
        1. How can/will NEBs be incorporated into Electric Plan 4 / Gas Plan 3?

**ACT 26:** SAG discussion on approach to NEBs in Electric Plan 4 / Gas Plan 3.

* + 1. Timeline:
       1. 2/21/2017 – meeting discussions
    2. Updates and Agreements:
       1. 2/21/2017 – Many stakeholders agreed that utilities may consider NEB evaluation during the planning process, including statewide coordination. Considered closed for planning process, to be addressed in evaluation planning.

1. **Subcommittee Deliverables and Due Dates**

The Subcommittee shall produce the following documents:

1. Stipulations on agreements consensus issues between non-financially interested parties and select utilities (documented by SAG Facilitation Team) – May 31, 2017
2. Planning process report (SAG Facilitation Team) – June 30, 2017

**Attachments**

Subcommittee Plan Tracker – SAG\_2017\_Planning\_Process\_Subcommittee\_Tracker (Excel)

1. Ameren IL seeks to hold discussions with stakeholders re: upcoming portfolio plans, but may wish to pursue litigation over settlement. [↑](#footnote-ref-1)
2. With the exception of Ameren IL, which may provide batch files following the initial meeting. [↑](#footnote-ref-2)