

**Illinois Stakeholder Advisory Group  
Portfolio Planning Process Subcommittee Plan  
Version 1.0  
DRAFT – 7/10/2015**

<b>Subcommittee</b>	<b>SAG Participants</b>
<b>Champions</b>	ComEd – Roger Baker IL Attorney General’s Office – Karen Lusson Nicor Gas – Hammad Chaudhry Peoples Gas-North Shore Gas – Sue Nathan (AEG) SAG Facilitator – Annette Beitel
<b>Subcommittee Members:</b> Program Administrators	<ul style="list-style-type: none"> <li>• Ameren IL</li> <li>• ComEd</li> <li>• DCEO</li> <li>• Nicor Gas</li> <li>• Peoples Gas-North Shore Gas</li> </ul>
<b>Subcommittee Members:</b> Non-Program Administrator Members	<ul style="list-style-type: none"> <li>• Consumer advocates</li> <li>• Environmental advocates</li> <li>• Energy consulting firms</li> <li>• Evaluators</li> <li>• ICC Staff</li> <li>• Large customer representatives</li> <li>• Other interested organizations, companies, and state agencies (IL EPA, IPA, etc.)</li> </ul>
<b>Final Deliverables</b>	<p>The Subcommittee will create the following documents to support this effort:</p> <ol style="list-style-type: none"> <li>1. Planning Process Schedule</li> <li>2. Planning Process “Ground Rules”</li> <li>3. Templates (Existing Portfolio/Program Report; Policy / Issue Template; Program Template – High Level, New Program; Program Template – High Level, Existing Program; Program Template – Filing; C/E Calculator Inputs)</li> <li>4. Consent Agreement with Non-Consensus Items</li> </ol>
<b>Commencement Date</b>	July 2015
<b>Conclusion Date</b>	July 31, 2016

**I. Portfolio Planning Process Objectives**

The objective of the Portfolio Planning Process Subcommittee is to reach as much consensus as possible on issues related to energy efficiency portfolio plans, in advance of the Illinois Commerce Commission plan filing requirements for Illinois Program Administrators in fall 2016. The goal is to reach consensus on a variety of issues in order to reduce litigation during the upcoming energy efficiency plan dockets.

The Portfolio Planning Process Subcommittee will prioritize consensus on the following Plan components:

1. Portfolio budget
2. Portfolio savings
3. Programs to be funded
4. Residential and non-residential split
5. Low-income offerings
6. Coordination between gas and electric utilities

The Subcommittee may also discuss program-specific details, as applicable, but those topics will not be prioritized for resolution through this process.

## **II. Description of Issues**

The following issues have been identified as issues to discuss in the Portfolio Planning Process Subcommittee:

- i. General Issues
  1. Coordinated Proceeding
  2. Same ALJ?
  3. Gas utilities work on electric utility schedule – earlier decision?
- ii. General Allocation Issues
  1. C&I / Res Split
  2. Gas – Electric Funding
  3. What goes to IPA?
  4. Portfolio Admin Costs
  5. Changes to current portfolio?
  6. Policy Issues to Consider:
    - i. Clean Power Plan Modeling
    - ii. Does current EE Plan meet CPP goals?
    - iii. IL Proposed Legislation
    - iv. Additional Policy Issues – are there others to address?
    - v. Expected changes to codes and standards
- iii. New Initiatives (See Attachment A for more detail):
  1. Gaps based on Potential Studies
  2. Customers Served
  3. New Technologies
  4. New Programs
  5. Financing Programs
  6. C&I Customers - how to improve offerings?
  7. Fostering IL-based Businesses
  8. Wasted Energy
  9. AMI-Based EM&V
  10. Leveraging Smart Meters
  11. Codes and Standards Program
  12. Leveraging Other Players
  13. Leveraging Other Funding
  14. Statewide EE Trademark and Marketing
  15. New Market Transformation Initiatives
  16. Scale-up:

- i. Smart T-stats;
  - ii. Residential and Multifamily Weatherization
17. More LEDs, fewer CFLs
  18. Hotel Program

### **III. Background Information**

The next portfolio plans represent the fourth 3-year plan filings for electric utilities (Ameren IL, ComEd, and DCEO) and the third 3-year plan filings for gas utilities (Nicor Gas, Peoples Gas-North Shore Gas, and DCEO). The Illinois Energy Efficiency Stakeholder Advisory Group (“IL EE SAG” or “SAG”) has provided a venue for utilities and stakeholders to work together to discuss a variety of issues and reach consensus on directives from the Commission since 2008. Utilities and stakeholders have achieved many successes throughout this process. Over the years, a number of SAG participants have expressed interest in reducing litigation in plan dockets. The SAG Portfolio Planning Process Subcommittee was created to answer this concern, and provide a consensus-seeking process to discuss energy efficiency policies, initiatives, and Program Administrator plans in advance of litigation. A summary of directives to SAG in past plan filings is provided below, for reference.

Commission directives to SAG:

- The responsibilities of the Illinois Energy Efficiency Stakeholder Advisory Group (“IL EE SAG” or “SAG”) include, but are not limited to: reviewing final program designs; establishing agreed-upon performance metrics for measuring portfolio and program performance; reviewing Plan progress against metrics and against statutory goals; reviewing program additions or discontinuations; reviewing new proposed programs for the next program cycle; and reviewing program budget shifts between programs where the change is more than 20% (ComEd Final Order, [07-0540 at 32](#); Ameren Final Order, [07-0539 at 24](#)).
- In the Final Order of the second three-year electric energy efficiency plans and first three-year gas plans, the assigned additional duties to the SAG included the development of a Technical Reference Manual for approval by the ICC (e.g., ComEd Final Order, [10-0570 at 59](#); Policy Division Staff Report, [12-0528](#)).
- In January 2014, the ICC issued final orders in the third three-year electric plans, and further expanded SAG duties to include, among other issues, reviewing new program designs, further discussing issues that remained unresolved in litigation, and the creation of an Illinois Energy Efficiency Policy Manual (e.g., [Ameren IL Final Order, 13-0498](#); [ComEd Final Order, 13-0495](#); [DCEO Final Order, 13-0499](#)). Final Orders in the second three-year gas plans were issued in May 2014 and include similar expansion of SAG issues (e.g., [Nicor Gas Final Order, 13-0549](#); [Peoples Gas-North Shore Gas Final Order, 13-0550](#)).

IV. Schedule<sup>1</sup>

2015 Portfolio Planning Process Meetings				
Date(s)	Agenda Item(s)	Presenter(s)	Deliverable(s)	Next Steps
Tues., July 28	Stakeholder Group Discussion on SAG Portfolio Planning Process	Annette Beitel, EE SAG Facilitator; Roger Baker, ComEd; Karen Lusson, IL AG; Sue Nathan, AEG on behalf of Peoples Gas-North Shore Gas; Hammad Chaudhry, Nicor Gas	Draft Portfolio Planning Process Plan document	1) Updated Draft Portfolio Planning Process Plan document 2) Confirm presenters for Sept. meetings
Mon., Sept. 28 - Tues., Sept. 29	Utility Presentations on Portfolio budget, expected cost for various admin categories, programs for IPA (Ameren IL and ComEd)	Program Administrators (Keith Goerss, Ameren IL; George Malek, ComEd; Jim Jerozal, Nicor Gas; Pat Michalkiewicz, Peoples Gas-North Shore Gas; Molly Lunn, DCEO)	Presentations from each Program Administrator	To be determined.
	General Allocation Issues: i. C&I / Res Split ii. Low Income Funding iii. Gas - Electric Funding iv. Portfolio Admin Costs v. Discuss changes to current portfolio vi. Identify current programs that could potentially be improved vii. Policy Issues to Consider: a) Clean Power Plan (CPP) Modeling; b) Does current EE plan meet CPP goals? c) IL Proposed Legislation d) Additional policy issues - are there others to address? e) Expected changes to codes and standards	i. Chris Neme, Energy Futures Group, on behalf of NRDC ii. Karen Lusson, IL AG iii. Mike Brandt, ComEd; Keith Goerss, Ameren IL iv. Program Administrators v. Annette Beitel, EE SAG Facilitator vi. Utilities and Stakeholders - see Attachment A for specific topics / presenters. vii. a) Ian Adams, Clean Energy Trust; b) Becky Stanfield, NRDC c) Mike Brandt, ComEd; Becky Stanfield, NRDC; Bryan McDaniel, CUB d) Annette Beitel, EE SAG Facilitator e) Jim Jerozal, Nicor Gas	Completed Policy / Issue Template for each new initiative	To be determined.

<sup>1</sup> See Attachment A for additional details on agenda items.

<b>2015 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable(s)</b>	<b>Next Steps</b>
Mon. Oct. 28 - Tues., Oct. 29	New Initiatives: 1. Gaps based on Potential Studies 2. Customers Served 3. New Technologies 4. New Programs 5. Financing Programs 6. C&I Customers - how to improve offerings? 7. Fostering IL-based Businesses 8. Wasted Energy 9. AMI-Based EM&V 10. Leveraging Smart Meters 11. Codes and Standards Program 12. Leveraging Other Players	1. Sue Nathan, AEG, on behalf of PG-NSG; Hammad Chaudhry, Nicor Gas 2. Chris Neme, Energy Futures Group, on behalf of NRDC 3. Jim Jerozal, Nicor Gas 4. Annette Beitel, EE SAG Facilitator 5. Kristin Munsch, CUB 6. Sue Nathan, AEG, on behalf of PG-NSG 7. Annette Beitel, EE SAG Facilitator 8. Rob Kelter, ELPC 9. Kristin Munsch, CUB 10. Kristin Munsch, CUB 11. Jim Jerozal, Nicor Gas 12. Edith Makra, Metropolitan Mayors Caucus	Completed Proposed Program Template for each new initiative	To be determined.
Tues., Nov. 17	New Initiatives (cont.) 13. Leveraging Other Funding 14. Statewide EE Trademark and Marketing 15. New Market Transformation Initiatives 16. Scale-up: a) Smart T-stats; b) Residential and Multifamily Weatherization 17. More LEDs, fewer CFLs 18. Hotel Program	13. Karen Lusson, IL AG 14. Stefano Galiasso, ERC/UIC 15. Molly Lunn, DCEO 16. a) Rob Kelter, ELPC; b) Kristin Munsch, CUB 17. Mike Brandt, ComEd 18. Rob Kelter, ELPC	Completed Proposed Program Template for each new initiative	To be determined.
Tues., Dec. 15	Follow-up on Policy Issues to Consider: 1. Clean Power Plan 2. IL Legislation / Results 3. Additional Policy Issues from Stakeholders 4. Expected Changes to Codes and Standards	1. Annette Beitel, EE SAG Facilitator 2. Becky Stanfield, NRDC 3. Annette Beitel, EE SAG Facilitator; Utilities / Stakeholders 4. Jim Jerozal, Nicor Gas	Updated Policy Templates for each issue	To be determined.

<b>2016 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable(s)</b>	<b>Next Steps</b>
Mon., Jan. 25 - Tues., Jan. 26	Continue seeking to reach consensus on new programs, initiatives, and technologies to pursue.	TBD	TBD	TBD
Mon., Feb. 22 (half day)	DCEO presentation of draft programs	Molly Lunn, DCEO	Completed high-level template by Mon. Feb. 15	TBD

<b>2016 Portfolio Planning Process Meetings</b>				
<b>Date(s)</b>	<b>Agenda Item(s)</b>	<b>Presenter(s)</b>	<b>Deliverable(s)</b>	<b>Next Steps</b>
Tues., Feb. 23	Electric utilities present on draft programs	George Malek, ComEd Keith Goerss, Ameren IL	Completed high-level template by Mon. Feb. 15	TBD
Tues., March 22	Gas utilities present on draft programs	Jim Jerozal, Nicor Gas Pat Michalkiewicz	Completed high-level template by Tues. March 15	TBD
Mon., April 25 - Tues., April 26	Consensus-building overall funding and budget; program and portfolio design	Annette Beitel, EE SAG Facilitator	May 2: Circulate draft filings for comment	Comments due in 10 Business Days (on or before Mon., May 16)
Mon., May 24 - Tues., May 25	Consensus-building on funding allocation; program and portfolio design. Discuss stakeholder comments.	Annette Beitel, EE SAG Facilitator	TBD	TBD
Tues., June 28	Consensus building on draft filings	Annette Beitel, EE SAG Facilitator	TBD	TBD
Tues., July 26	Consensus building on draft filings	Annette Beitel, EE SAG Facilitator	July 31: Finalize consent agreement.	Sept. 1: Electric utilities file Oct. 1: Gas utilities file

**V. Attachments**

- Attachment A: Key Issues for SAG Portfolio Planning Process
- Attachment B: Templates (to be completed)