

**Illinois EE Stakeholder Advisory Group (SAG):**  
**Reporting Policies Developed by SAG Policy Manual Subcommittee**  
**for Policy Manual Version 3.0**  
**Final (September 25, 2023)**

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## **A. Income Qualified Multi-Family Reporting Principles Policy**

**Policy:** Each Program Administrator will report on the effectiveness of its efforts to deliver efficiency improvements to the income qualified multi-family housing sector. In addition to standard Program reporting on spending and savings, Program Administrators will report on a statewide set of metrics designed to provide insight into a variety of other Program and policy objectives including:

- i. The mix of buildings being treated. This could include breakdowns between public housing, subsidized housing and unsubsidized housing; the type/size of buildings.
- ii. Levels of joint delivery and/or coordinated delivery between gas and electric utilities.
- iii. The comprehensiveness of efficiency upgrade opportunities being addressed in participating buildings. This would include a particular emphasis on understanding the level of uptake of building envelope, HVAC equipment, water heating equipment and other major Measures (vs. just lower cost measures through direct installation and/or other delivery mechanisms) and barriers encountered in increasing uptake of such major Measures.
- iv. Uptake of new technologies. This would include, but not be limited to, cold climate heat pumps and heat pump water heaters.
- v. Leveraging of other funding sources to support income qualified multi-family retrofits.
- vi. Geographic distribution. This would include where buildings are served, which could be provided by zip code and/or census tract.

Program Administrators shall work with interested stakeholders to reach consensus in developing the specific metrics to address these reporting needs. The metrics may evolve over time.

The list of metrics will be posted on the SAG and LIEEAC website(s). The metrics will be referenced in, and lessons learned from reported metric data will be referenced in, the Program Administrators' quarterly and/or annual reports and discussed in SAG and LIEEAC with the goal of improving Program delivery and outcomes.

*This policy is effective ninety (90) days after conclusion of the SAG Reporting / Metrics Working Group, but no later than April 1, 2024, unless consensus is reached for a later date within the SAG Working Group. Program Administrators remain bound by provisions of settlement agreements regarding reporting metrics – including for 2022 and 2023. Any new metrics approved through the SAG Working Group will be supplemental to specific metrics that were agreed-upon and referenced in a Program Administrator's stipulation.*

## **B. Income Qualified Health and Safety Reporting Principles Policy**

**Policy:** Health and safety issues can sometimes be impediments to weatherizing homes. When that is the case, income qualified households not only lose the potential for realizing energy bill reductions, but also are left with underlying structural and/or other problems in their home that they typically do not have the financial or technical resources to remedy. This policy is intended to provide transparency on how Program Administrators are addressing health and safety issues encountered through their income qualified weatherization Programs, to enable understanding of similarities and differences in opportunities and challenges experienced by each Program Administrator, as well as to make available data that can shed light on both successes and future opportunities for improvement in addressing such issues. Specifically, it requires that each Program Administrator report on the effectiveness of its efforts to address health and safety improvements necessary to enable Energy Efficiency retrofits – particularly building envelop upgrades, HVAC equipment upgrades and other major Measures – in income qualified single family and multi-family buildings. The reporting will be on a statewide set of metrics designed to provide insight into the following issues for both single family and multi-family buildings:

- i. How often health and safety concerns are found.
- ii. The types of health and safety concerns that are found and the Measures used to address those concerns.
- iii. How often the Programs are able to address (vs. unable to address) any health and safety concerns that are found and why.
- iv. Levels of spending to address health and safety concerns.
- v. Geographic and building type distribution of health and safety data.
- vi. The types of materials used for air sealing and insulation.

Program Administrators shall work with interested stakeholders to reach consensus in developing the specific metrics to address these reporting needs. The metrics may evolve over time.

The list of metrics will be posted on the SAG and LIEEAC website(s). The metrics will be referenced in, and lessons learned from reported metric data will be referenced in, the Program Administrators' quarterly and/or annual reports and discussed in SAG and LIEEAC with the goal of improving Program delivery and outcomes.

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## **C. Equity and Affordability Reporting Principles Policy**

**Policy:** Each Program Administrator will report on the delivery of its Energy Efficiency Programs to disadvantaged communities. In addition to standard reporting of disconnection and other credit and collections data by zip code already required by Section 8.201.10 of the Public Utilities Act, Program Administrators will report on a statewide set of metrics designed to provide insight into a variety of other Program and policy objectives, which shall include:

- i. How participation in Program Administrator whole building retrofit Programs overlaps with geographic areas with economic need;
- ii. How participation in Program Administrator whole building retrofit Programs overlaps with the Low Income Home Energy Assistance Program (LIHEAP) and Percentage of Income Payment Plan (PIPP) participation; and
- iii. How participation in Program Administrator whole building retrofit Programs overlaps with disadvantaged communities or other indicators of equity.

Each Program Administrator will also perform periodic analyses to provide insight into additional Program and policy objectives, which may include:

- i. The effectiveness of whole building retrofit Programs and other Program Administrator-sponsored assistance and efficiency programs in reducing Low Income Customer energy burdens.
- ii. The number of and effectiveness of cross referrals between Energy Efficiency and credit/collections departments in enrolling Low Income Customers.
- iii. The number or proportion of Energy Efficiency Program Participants that are payment troubled (e.g., Customers at risk of being disconnected; with high arrears; participating in bill assistance programs).

Program Administrators shall work with interested stakeholders to reach consensus in developing the specific metrics to address these reporting needs. The metrics may evolve over time.

The list of metrics will be posted on the SAG and LIEEAC website(s). The metrics will be referenced in, and lessons learned from reported metric data will be referenced in, the Program Administrators' quarterly and/or annual reports and discussed in SAG and LIEEAC with the goal of improving Program delivery and outcomes.

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## **D. Diverse Contracting Reporting Principles Policy**

**Policy:** Each Program Administrator will report on its efforts to enable and provide increases in diverse contracting within the Program Administrators' Energy Efficiency Portfolios. In addition to any standard diverse contractor reporting already undertaken by Program Administrators pursuant to Section 5-117 of the Public Utilities Act, and for electric utilities, as ordered by the Commission in the electric utility performance-based ratemaking dockets (ICC Docket Nos. 22-0063 and 22-0067), Program Administrators will report on a statewide set of metrics designed to provide insight into the policy objective of increasing opportunities for diverse contractors and Trade Allies to engage in Energy Efficiency and other policy objectives. The following metrics will be reported by diverse category, including but not limited to woman-owned, minority-owned, and veteran-owned businesses:

- i. The number of diverse contractors and/or proportion of spending on diverse contracts for the Energy Efficiency Portfolio, by tiered contract level (primary contract (direct with a Program Administrator) or secondary contract (subcontract to a primary), as applicable). If a Program Administrator has two (2) or less diverse contractors in a tier level and/or diverse category, this reporting requirement will be waived to protect the confidentiality of contract values. This waiver will not supersede a Program Administrator's obligation to provide expense detail in any ICC proceeding in which the reasonableness and prudence of a Program Administrator's spending is being assessed.
- ii. Percent of or amount of Portfolio dollars, excluding pass-through incentives, for diverse contractor spend.
- iii. Spending with diverse Trade Allies, relative to total Trade Ally spending.

The set of metrics designed to provide insight into the policy objective of increasing opportunities for diverse contractors and Trade Allies to engage in Energy Efficiency and other policy objectives may include:

- i. Number of diverse Trade Allies and their specialties.
- ii. How diverse Trade Allies are distributed geographically by Program.
- iii. Location of diverse Trade Allies relative to historically disadvantaged communities and Environmental Justice communities, whichever is more inclusive of low and moderate income communities.

Program Administrators shall work with interested stakeholders to reach consensus in developing the specific metrics to address these reporting needs. The metrics may evolve over time.

The list of metrics will be posted on the SAG and LIEEAC website(s). The metrics will be referenced in, and lessons learned from reported metric data will be referenced in, the Program Administrators' quarterly and/or annual reports and discussed in SAG and LIEEAC with the goal of improving Program delivery and outcomes.

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