



Peoples Gas and North Shore Gas Evaluation Plans - 2022-2025 Compendium

2022 FINAL

Presented to:

Peoples Gas and North Shore Gas

June 30, 2022

Submitted to:

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Table of Contents

1. Introduction.....	4
2. Core Evaluation Tasks	5
2.1 Impact Evaluation	5
2.1.1 Guiding Principles.....	5
2.1.2 Approach.....	5
2.1.3 Deliverables and Timing.....	7
2.2 NTG Research and Framework Application	9
2.2.1 Guiding Principles.....	10
2.2.2 Approach.....	10
2.2.3 Deliverables and Timing.....	11
2.3 Market Transformation Evaluation	14
2.4 Portfolio Research	16
2.4.1 Guiding Principles.....	16
2.4.2 Support for TRM Updates.....	17
2.4.3 Process Evaluation Research	18
2.4.4 Other Cross-Cutting Research	19
2.4.5 Evaluation Research Activities	19
2.5 Evaluation Portfolio Support and Reporting.....	20
2.5.1 Annual Review of the Companies’ Adjustable Savings Goals.....	20
2.5.2 Ad-Hoc Evaluation Support Requests.....	20
2.5.3 Cost-Effectiveness Review and Summary Reporting.....	20
2.5.4 Job and Macroeconomic Impact Reporting	21
2.6 Evaluation Budget.....	22
Appendix A. Program Evaluation Plans.....	23
A.1 Residential Programs.....	24
A.1.1 OUTREACH AND EDUCATION.....	24
A.1.2 SINGLE FAMILY HOME ENERGY JUMPSTART	24
A.1.3 HOME ENERGY REBATE	25
A.1.4 MULTI-FAMILY ASSESSMENTS & REBATES/GRANTS.....	26
A.2 Income Eligible Programs	28
A.2.1 INCOME ELIGIBLE SINGLE FAMILY	28
A.2.2 INCOME ELIGIBLE MULTI-FAMILY	29
A.3 Business and Public Sector Programs	31
A.3.1 PRESCRIPTIVE REBATES.....	31
A.3.2 CUSTOM REBATES.....	31
A.3.3 GAS OPTIMIZATION STUDIES.....	32
A.3.4 STRATEGIC ENERGY MANAGEMENT	33

A.3.5	COORDINATED RETRO-COMMISSIONING	33
A.3.6	COORDINATED NON-RESIDENTIAL NEW CONSTRUCTION	34
A.3.7	SMALL/MID-SIZE BUSINESS ASSESSMENTS & REBATES.....	35
A.3.8	COMMERCIAL FOOD SERVICE PROGRAM	36
A.4	Market Transformation Initiatives.....	38
A.5	Portfolio Research Plans.....	39

List of Tables

Table 2-1.	Income Eligible and Residential Programs Impact Evaluation Approach	8
Table 2-2.	Business and Public Sector Programs Impact Evaluation Approach.....	9
Table 2-3.	Residential NTG Research Approach and Timing	12
Table 2-4.	Business & Public Sector NTG Research Approach and Timing.....	13
Table 2-5.	NTG Research Schedule by Year.....	14
Table 2-6.	Current Illinois Market Transformation Status and Evaluation Activities	16
Table 2-7.	Portfolio Evaluation Research.....	19
Table 2-8.	Evaluation Budget	22

1. Introduction

This document presents evaluation, measurement, and verification (EM&V) plans for evaluating Peoples Gas (PGL) and North Shore Gas (NSG) (“the Companies”) portfolio of energy efficiency programs in 2022 through 2025, which is Energy Efficiency Plan (EEP) 2022-2025 (Plan 4). The next sections include an overview of evaluation approaches and a proposed high-level schedule for program-year evaluation tasks. The Appendix includes program-level evaluation plans.

When developing and implementing this plan, Guidehouse will coordinate with and/or seek input from other Illinois utilities (ComEd, Nicor Gas, Ameren Illinois) and their evaluators, the SAG, ICC staff, and the TRM administrator:

- When planning evaluation research and survey activities
- When conducting evaluation research where collaboration to achieve broader coverage and larger sample sizes may improve the research results

This portfolio EM&V plan will be updated annually to reflect updated research priorities. Specifically, Guidehouse will submit proposed updates to the portfolio EM&V plan annually by December 15. This updated draft portfolio EM&V plan shall be submitted to Program Administrators, the SAG Facilitator, and ICC Staff concurrently for review and comment, including a summary outline of actual evaluation activities that have occurred already, as well as tentatively planned and proposed evaluation activities for the four-year portfolio EM&V plan.

2. Core Evaluation Tasks

The next sections present an overview of the core evaluation tasks with summary tables. The Appendix provides detailed, program-level evaluation plans. The core evaluation tasks are:

- Impact Evaluation
- NTG Research and Framework Application
- Market Transformation Evaluation
- Portfolio Research
- Evaluation Portfolio Support and Reporting

2.1 Impact Evaluation

The primary goal of impact evaluation is to verify the gross and net savings claimed by PGL and NSG to be applied toward statutory goals. The effort has secondary goals of improving the accuracy of ex ante impact estimates, improving the accuracy and relevance of the TRM, and improving the accuracy and usefulness of the program tracking systems.

2.1.1 Guiding Principles

1. Verify the gross and net savings to be applied toward statutory goals for all programs in each PGL and NSG program year. Use gross savings calculated from the Illinois Technical Reference Manual (TRM) or custom impact evaluation research and calculate net savings by applying the Illinois Energy Efficiency Stakeholder Advisory Group (SAG) deemed net-to-gross (NTG) value. When programs are delivered jointly with electric utilities, calculate verified gross natural gas savings without interactive effects from the reduction of electricity usage.
2. Where practical, conduct impact evaluations and measure research using randomized controlled trials (RCT) or quasi-experimental design (QED) and energy consumption data. When Guidehouse believes that RCTs or QEDs are not practical, as part of its evaluation plan, we will provide an explanation and support for its decision.
3. Coordinate impact evaluation of jointly delivered programs with the ComEd evaluation team to reduce duplication of work, customer disruption, and evaluation spending.
4. Conduct technical reviews and gather Illinois-specific data to update the TRM and recommend updated verification approaches for applicable measures.

2.1.2 Approach

The impact analysis of a program portfolio will typically include the following components:

- **Program Tracking Data Review.** Verify the type of measures installed and the quantities claimed for accuracy as reported in the program tracking database and supplemental data provided by implementation contractors.
- **Savings Verification for TRM-Based Measures.** For TRM-based measures, Guidehouse will verify ex ante gross measure savings against the allowable input values and algorithms provided in the relevant ICC-approved version of the TRM.
- **Savings Verification for Custom Measures.** For non-TRM “custom” measures, Guidehouse will conduct evaluation research to verify gross impacts. For each project selected for the participant research sample, an in-depth application review is performed to assess the engineering methods, parameters and assumptions used to generate all ex ante impact estimates. For each measure in the sampled project, engineers estimate verified gross savings based on their review of documentation and engineering analysis. Validation of savings through gas consumption (billing) data analysis may be used in combination with the engineering review for individual sites. Site-specific data will be collected for a subset of sampled projects, either through telephone interviews with site contacts, or an onsite visit that follows required safety protocols. For most projects, onsite data collection includes interviews that are completed at the time of the onsite visit, visual inspection of the systems and equipment, recording EMS settings, and collecting energy management system (EMS) trend data or production records when available and necessary. To support this review, Guidehouse requests project documentation in electronic format for each sampled project.
- **Parallel Path / Large Project Review.** Guidehouse will conduct project file reviews that fall under a “Parallel Path” designation. These are projects that the implementation contractor has identified early in the project application cycle that may pose a risk to realization of verified gross impacts, either due to size of the project, the complex technical nature, or difficulty in baseline determination. As budget allows, Guidehouse conducts a review of project documentation and energy saving estimates and prepares a brief response that identifies further questions or revisions to the gross savings estimates. The findings are discussed with the implementation contractor who then adopts the findings going forward or proceeds as originally intended with a better knowledge of evaluation risk for the project.
- **Impact Sampling.** For custom measures, impact-related sampling will be designed to achieve a 90%/±10% level of confidence and precision at the program level and may also include selected high priority measures at the 90/10 level. Savings verification of TRM-based measures is performed on a census of claimed installations (not sampled).
- **Consumption Data (Billing) Analysis with Statistical Validation Check.** A standard regression approach for estimating program natural gas energy savings is a preferred method for the evaluation of the energy use impacts of certain programs and measures. Guidehouse will perform billing analysis to evaluate programs, projects, and measures when appropriate. Where practical, program evaluations will be conducted using RCTs or QEDs. When Guidehouse believes that RCTs or QEDs are not practical, we will provide an explanation and support for this decision as part of the program’s evaluation plan

- **Net Savings Verification.** The net savings impact evaluation for each measure will apply the net-to-gross (NTG) ratio deemed through the Illinois SAG consensus process.
- **Jointly Implemented or Coordinated Programs.** Evaluations of joint or coordinated programs will be designed to meet the needs of PGL, NSG, and ComEd, as well as other Illinois utilities, when appropriate. When programs are delivered jointly with electric utilities, Guidehouse calculates verified gross natural gas savings without applying an interactive effects penalty from the reduction of electricity usage.

2.1.3 Deliverables and Timing

- **Interim Impact Evaluation.** Guidehouse will conduct mid-year impact evaluation for most programs¹ if data are available prior to the end of year. Guidehouse will conduct an engineering review of the Master Measure Database at mid-year, checking for correct adoption of the latest impact evaluation findings, updated TRM algorithms, and NTG values. Guidehouse will conduct a review of the adjustable savings goal calculations in December (if available) and January, checking for correct adoption of updated TRM algorithms and inputs. For programs with TRM-based measures, Guidehouse will conduct an interim review of per-unit savings from tracking data during the third quarter (results by August 31 if data is available by June 15). For programs with non-TRM custom measures, Guidehouse will draw savings verification samples one to three times during the program-year, depending on the number of completed projects, with the first sample drawn about July 1. Guidehouse will review implementer developed ex ante savings calculations if requested or when a new delivery channel is added to the portfolio.
- **End of Year Impact Evaluation.** Final program year impact evaluation will take place after the program-year ends when we receive final tracking data, expected by January 30. The target delivery for draft joint reports is March 15, with all joint reports final by April 30. For PGL and NSG only programs, best efforts will be made to deliver a draft report by March 15 and a final report by April 30². However, this schedule is dependent on delivery of final tracking data by January 30 of each year and adherence to review schedules. For PGL and NSG only programs with only TRM-based measures, we expect draft delivery not later than April 15, with final reports by June 3. For programs with custom measures, we expect draft delivery not later than May 6, with final reports by June 24. We expect billing usage analyses will occur after the end of the program year when final billing data is available (which may be some weeks after January 30), and may include multiple program years to accommodate a full heating season.

Table 2-1 and Table 2-2 summarize the impact evaluation approach by program and market offering.

¹ Programs that account for less than 1% of portfolio savings and have a history of realization rates near 100% may not receive interim impact review.

² Utilizing "best efforts" is Illinois Energy Efficiency Policy Manual Version 2.1 draft impact evaluation reports.

Table 2-1. Income Eligible and Residential Programs Impact Evaluation Approach

Program / Market Offering	TRM-Based Verification	Custom M&V	Custom Project Parallel Path Review	Interim Review Type
Income Eligible Programs				
Single Family				
Retrofits	√	--	--	TRM
Elementary Energy Education	√	--	--	TRM
Home Energy Assessment	√	--	--	TRM
Income Eligible Kits	√	--	--	TRM
Multi-Family				
Retrofits and New Constr.	√	√	If Requested	TRM
Partner Trade Ally (PTA)	√	--	--	TRM
Income Eligible Kits	√	--	--	--
Residential Programs				
Outreach & Education				
Energy Efficiency Education	√	--	--	TRM
Home Energy Jumpstart				
Assessments and DI	√	--	--	TRM
Advanced Thermostats	√	--	--	TRM
Home Energy Rebate				
Space & Water Heating	√	--	--	TRM
Weatherization	√	--	--	TRM
Advanced Thermostats	√	--	--	TRM
Multi-Family Program				
Assessments and DI	√	--	--	TRM
Rebates: Prescriptive/PTA	√	--	--	TRM
Rebates: Custom	--	√	If Requested	Q3, Q4 Samples
Gas Optimization	--	√	If Requested	Q3, Q4 Samples

Source: Guidehouse.

Table 2-2. Business and Public Sector Programs Impact Evaluation Approach

Program / Market Offering	TRM- Based Verification	Custom M&V	Custom Project Parallel Path Review	Interim Review Type
Business and Public Sector Programs				
Small/Mid-Size Business				
Assessments and DI	√	--	--	TRM
Rebates: Prescriptive/PTA	√	--	--	TRM
Rebates: Custom	--	√	--	Q3, Q4 Samples
C&I Programs				
Prescriptive Rebates	√	--	--	TRM
Custom Rebates	--	√	If Requested	Q3, Q4 Samples
Gas Optimization	--	√	If Requested	Q3, Q4 Samples
Strategic Energy Management	--	√	If Requested	--
Coordinated Retro-Commissioning	--	√	--	Quarterly Sample
Coordinated New Construction	--	√	--	Q3 Sample
Public Sector Programs				
Prescriptive Rebates	√	--	--	TRM
Custom Rebates	--	√	If Requested	Q3, Q4 Samples
Gas Optimization	--	√	If Requested	Q3, Q4 Samples
Strategic Energy Management	--	√	If Requested	-
Coordinated Retro-Commissioning	--	√	--	Quarterly Sample
Coordinated New Construction	--	--	--	Q3 Sample
Commercial Food Service	√	--	--	TRM

Source: Guidehouse.

2.2 NTG Research and Framework Application

The primary goal of NTG research is to estimate the change in energy consumption that is attributable to a particular energy efficiency program or to the combined influence of the energy efficiency portfolio. The net savings analysis requires the evaluator to assess the influence of PGL and NSG programs versus other factors on the customer's decision to install energy efficiency measures, either through the programs or outside of these. This change in energy use may include consideration of factors such as free-ridership, participant and non-participant spillover, and induced market effects. The NTG research efforts have secondary goals of identifying strategies for improving net savings and gathering additional feedback from participants to improve the program.

2.2.1 Guiding Principles

1. Estimate the NTG ratio for each program, including adjustments for free-ridership and spillover, to support annual prospective deeming of NTG ratios consistent with the Illinois NTG Policy. Conduct NTG research at least once during the four-year program cycle for each program following the NTG protocols in the TRM and when the program or market changes substantially. Some programs do not require primary NTG research when NTG values are deemed by consensus without primary research.
2. Where budget and schedule can accommodate, target a larger number of completions for NTG surveys than the minimum required for a 90%+/-10% level of confidence and precision program-level result.
3. Wherever possible, consider performing free-ridership research online in real time (soon after the participant decision is made), following TRM protocols, and collect spillover information after participants have had ample opportunity to take additional actions.
4. A sector or Portfolio-level Spillover analysis should be considered by each utility at least once every Plan period when it is feasible and considered viable by evaluation.³

2.2.2 Approach

Evaluation efforts will measure net savings considering free-ridership and participant spillover in all programs (except those where consensus values are deemed statewide without further research), and, where supported by the program delivery model, non-participant spillover and market transformation effects. The NTG surveys may include process questions as an optional research task, decided on a case-by-case basis in consultation with the Companies.

The NTG analysis will apply, follow, and incorporate the Illinois Statewide NTG Methodologies Framework (NTG Framework or Framework) agreed to among the Illinois SAG participants, approved by the Illinois Commerce Commission and documented in the effective Illinois TRM Version and any subsequent updates to the Illinois NTG Methodologies Framework.

Guidehouse expects that most NTG research can be conducted through online surveys, supplemented by telephone interviews in some studies. Using program tracking data with participants' email addresses, telephone numbers, and mailing addresses, we will conduct research on participant free-ridership through a survey via online (preferred) and/or telephone (if needed). The surveys will target customers and include trade allies if they are important to the program delivery approach. If the program supports it and the Companies are willing, we can survey participants who submit their program applications online to be able to click through the application confirmation page to take the survey. Otherwise, shortly after completing their project, participants with email addresses will receive an email invitation to the online survey. Participants without email addresses may be solicited through

³ Illinois Energy Efficiency Policy Manual Version 2.1, Section 7.4, Free Ridership and Spillover.

the postal service to take an online survey or will be sampled for a telephone survey if they are a significant proportion of the population.

Spillover surveys may be conducted online or through telephone interview, but timing must allow ample time for spillover actions to take place, usually 12 to 18 months after project completion. Guidehouse will target participating customers for participant spillover research and, if indicated by the program theory, the trade ally perspective on participant and non-participant spillover. Non-participating customers are the target for sector-level non-participant spillover.

2.2.3 Deliverables and Timing

Deliverables include:

- Draft and final survey research instruments
- Non-confidential data from survey respondents, upon request
- Draft and final NTG research findings memos
- NTG recommendations for SAG consensus

The timing for NTG research is driven by an Illinois energy efficiency policy requirement for the evaluators to send initial NTG recommendations to the SAG for the upcoming program year by September 1.

- **July to September 30:** Initiate the next round of NTG research with interviews with program staff on program design, delivery, and marketing and customer targets to inform survey development. Produce a draft survey instrument during Q3, allow the Companies 15 days for initial review, revise, and reach a final version by October 1.
- **October 1 to April 30:** Prepare survey for fielding and launch. Survey field data collection occurs for five to six months when online surveys and rolling telephone interviews are employed. The survey ends when sample quotas are reached.
- **May 1 to July 15:** Analyze NTG data and report results. When NTG research is conducted on a program, the results will be summarized in a memo that is delivered in draft not later than July 15.
- **July 15 to August 31:** Finalize all NTG research memos.
- **September 1:** Evaluators send initial NTG recommendations to the SAG, as required by Illinois Policy.
- **September 1 to September 30:** Evaluators present initial recommended NTG ratios for each energy efficiency program, subprogram, and/or measure group (where applicable) to the SAG, intended to represent the best estimates of future actual NTG values likely to occur for the upcoming program year. SAG participants, the Companies, and Guidehouse will make best efforts to reach consensus by October 1 regarding NTG ratios appropriate for deeming for the upcoming program year.
- **October 1:** Final consensus NTG ratios for deeming for the upcoming program year beginning January 1.
- **January 1:** New consensus NTG ratios in effect.

Table 2-3 and Table 2-4 summarize the NTG research approach by program and market offering. Table 2-5 provides the NTG survey schedule by year.

Table 2-3. Residential NTG Research Approach and Timing

Program / Market Offering	Previous NTG Research	Free-ridership Approach	Spillover Approach	Timing	New NTG Effective
Residential Programs					
Outreach & Education					
Energy Efficiency Education	--	Deemed	Deemed	--	--
Home Energy Jumpstart					
Assessments and DI	2018	Online / Real-Time Post-Participation	Online	Start Q4 2022	2024
Advanced Thermostats	Secondary ⁴	Online / Real-Time Post-Participation	Online	Start Q4 2022	2024
Home Energy Rebate					
Space & Water Heating	2020	Online / Real-Time Post-Participation	Online	Start Q4 2023	2025
Weatherization	2019	Online / Real-Time Post-Participation	Online	Start Q4 2023	2025
Advanced Thermostats	Secondary	Online / Real-Time Post-Participation	Online	Start Q4 2022	2024
Multi-Family Program					
Assessments and DI	2019	Online + Telephone	Online + Telephone	Start Q4 2023	2025
Rebates: PTA	2019	Online + Telephone	Online + Telephone	Start Q4 2022	2024
Rebates: Standard / Custom	2019	Online + Telephone	Online + Telephone	Start Q4 2023	2025
Gas Optimization	2021	Online + Telephone	Online + Telephone	Start Q4 2024	2026

Source: Guidehouse.

⁴ Previous research was secondary. New research will be primary research in Illinois.

Table 2-4. Business & Public Sector NTG Research Approach and Timing

Program/Market Offering	Previous NTG Research	Free-ridership Approach	Spillover Approach	Timing	New NTG Effective
Business and Public Sector Programs					
Small/Mid-Size Business					
Assessments and DI	2021	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2024	2026
Rebates: Prescriptive/PTA	2021	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2024	2026
Rebates: Custom	2021	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2024	2026
C&I Programs					
Prescriptive Rebates	2020	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2024	2026
Custom Rebates	2019	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2023	2025
Gas Optimization	2021	(Online + Telephone) / Real-Time Post-Participation	Online + Telephone	Start Q4 2024	2026
Coord. Retro-Commissioning	2021	Telephone	Telephone	Start Q4 2023	2025
Coord. New Construction	2021	Telephone / Real-Time Post-Participation	Telephone	Start Q4 2022	2024
Public Sector Programs					
Prescriptive Rebates	2021	(Online + Telephone) / Real-Time Post-Partic.	Online + Telephone	Start Q4 2024	2026
Custom Rebates	2021	(Online + Telephone) / Real-Time Post-Partic.	Online + Telephone	Start Q4 2024	2026
Gas Optimization	2021	(Online + Telephone) / Real-Time Post-Partic.	Online + Telephone	Start Q4 2024	2026
Coord. Retro-Commissioning	2021	Telephone	Telephone	Start Q4 2023	2025
Coordinated New Construction	2021	Telephone Real-Time Post-Partic.	Telephone	Start Q4 2022	2024
Commercial Food Service	Secondary	(Online + Telephone) / Real-Time Post-Partic.	Online + Telephone	Start Q4 2022	2024

Source: Guidehouse.

Table 2-5. NTG Research Schedule by Year

Program (s)	Target/Measures	Research	2022				2023				2024				2025				2026
			Q1	Q2	Q3	Q4	Q1												
Home Energy Jumpstart	Direct Install	FR, PSO																	
Residential	Advanced Thermostats	FR, PSO																	
Multi-Family	Partner Trade Allies	FR, PSO																	
Comm. Food Service	Rebates	FR, PSO																	
Non-Res New Constr.	All C&I and Public Sector	FR, PSO																	
Home Energy Rebates	HVAC, Water Htg	FR, PSO																	
Home Energy Rebates	Weatherization	FR, PSO																	
Multi-Family	Prescr./Custom Rebates	FR, PSO																	
C&I Programs	Custom Rebates	FR, PSO																	
Coordinated RCx	All C&I and Public Sector	FR, PSO																	
Small/Mid-Size Bus.	Rebates	FR, PSO																	
C&I Programs	Prescriptive/PTA Rebates	FR, PSO																	
Public Sector	Prescriptive/Custom Rebates	FR, PSO																	
Gas Optimization	All MF, C&I and Public Sector	FR, PSO																	
	Net-to-Gross Key	Survey Design/Sample Prep																	
	FR= Free-rideship	Fielding																	
	PSO = Participant Spillover	NTG Analysis and Reporting																	
	NPSO=Non-Participant Spillover	Year NTG Effective																	

Source: Guidehouse.

2.3 Market Transformation Evaluation

Market Transformation (MT) programs will be more prominent in Plan 4. Guidehouse will support MT efforts with two types of activities: 1) provide advice and insight to support the Companies develop, deliver, and improve each MT initiative, and 2) apply theory-based evaluation to estimate savings attributable to the MT initiative.

- Advise:** Guidehouse will advise the Companies and their implementation partners as elements of the Market Transformation Initiative are established following protocols in the TRM, including Natural Market Baseline, logic model, MT savings work papers, market progress indicators, and methodologies for savings attribution in relation to the Natural Market Baseline. As part of the annual evaluation planning process, Guidehouse will work with the Companies to identify research that could be conducted to improve Initiative performance during implementation.
- Prepare or Verify:** Savings verification for the Market Transformation evaluation will follow the TRM Volume 4 Attachment C: Framework for Counting Market Transformation Savings in Illinois. The TRM protocols provide the framework for measuring interim and long-term indicators of market progress and structural changes, attribution to the program, and cumulative energy impacts. Guidehouse will participate in the SAG MT Working group, communicating methodologies to the Working Group and documenting refinements in the TRM. This may include developing and verifying a natural market baseline, savings per unit and market progress indicators.

Table 2-6 summarizes the active Market Transformation efforts in Illinois and the evaluation activities. The specific initiatives currently in discussion statewide include:

- **Energy Codes:** Building energy codes are recognized as an effective way to move the market towards more efficient buildings. The SAG Market Transformation Working Group and other parties in Illinois are investigating initiatives designed to influence the building energy code and allow the utility administering the program to claim savings through market transformation initiatives. Utilities have unique opportunities to be involved in influencing code advancement. These opportunities are divided into three parts: 1) utility-initiated research, 2) advocacy for advancing policy, and 3) the creation of utility programs to support implementation and compliance with new energy codes. The amount of savings attributed to the utilities would be a direct reflection of the amount of effort and influence put forth by the utilities.
- **Energy Efficiency Performance Standards for Existing Buildings:** Municipal statutory mechanisms are a tool to mandate proactive energy efficiency improvements in existing buildings. A building performance standard (BPS) is one such mechanism, requiring performance improvement within existing buildings that fall below a defined threshold for actual operating energy performance. The role for utilities could include incentive program collaboration, energy benchmarking support, and technical assistance to municipalities and building owners.
- **Advanced Windows:** More than two decades ago, the Lawrence Berkeley National Laboratory, LBNL identified a novel technology pathway to thermally upgrade windows by adding a thin third pane of glass into the traditional two pane insulating glass unit (IGU), adding a second low-E coating, and replacing the argon gas fill with krypton. This results in a drop-in replacement IGU that converts standard R3 windows to energy efficient ~R5 without structural redesign of the window and home. The thin glass needed has since become readily available and affordable because of high demand by the flat screen TV and computer monitor industry. While the initial market opportunity is the residential sector, thin triple-pane windows would be applicable to the commercial sector as well.

The MT plan would require directly engaging window industry partners who make and sell windows in the Midwest and their critical supply chain partners. The plan assumes the utility and educational/training/outreach partners that have served other efforts in the past could be leveraged. To reduce risk and provide the largest possible market stimulus, the plan suggests combining utility customer rebates/incentives with manufacturing and supply chain support. The market transformation would be secured by establishing sufficient market demand to move ENERGY STAR efficient window specifications to the more efficient R5 Advanced Window, and potentially building energy codes.

- **Other Efforts Under Consideration:** Other technologies and markets are under consideration in Illinois as Market Transformation initiatives. For example, Gas Heat Pump Water Heaters (GHPWH) are water heaters that use natural gas to heat water by moving heat from the surrounding environment to the water tank. These products are not currently on the market and use a gas-fired heat pump and have a Uniform Energy Factor (UEF) >1. They are under consideration in Illinois for utility support as breakthrough equipment and devices or as a market transformation initiative. Evaluation activities for these developing MT areas are advisory in the early stages.

Evaluation research plans for specific MT initiatives are provided in the Appendix.

Table 2-6. Current Illinois Market Transformation Status and Evaluation Activities

Market Transformation Activity	Energy Codes	Existing Building Performance Standards	Advanced Windows	Other Efforts Under Consideration
MT Concept Development	Advise	Advise	Advise	Advise
MT Strategy Development	Advise	Advise	Advise	Advise
MT Savings Protocol	Prepare or Verify	Prepare or Verify	Advise or Verify	Prepare or Verify
Logic Model	Advise	Advise	Advise (as needed)	Advise (as needed)
Natural Market Baseline	Prepare or Verify	Prepare or Verify	Prepare or Verify (as needed)	Prepare or Verify (as needed)
Savings Per Unit	Prepare or Verify	Prepare or Verify	Prepare or Verify (as needed)	Prepare or Verify (as needed)
Market Progress Indicators	Prepare or Verify	Prepare or Verify	Prepare or Verify (as needed)	Prepare or Verify (as needed)

Source: Guidehouse.

2.4 Portfolio Research

Guidehouse conducts additional research above and beyond annual impact and process evaluation activities as requested, keeping budget priorities in consideration. Guidehouse will work with the Companies and other Illinois parties to identify the programs and measures that could most benefit from these supplemental research activities, being mindful of overall budget availability. Additional research may be requested as needed and considered as a part of the annual evaluation planning process. Guidehouse will leverage research opportunities through jointly funded studies with ComEd, Nicor Gas, and Ameren Illinois when possible.

Guidehouse will work with the Companies to identify research priorities and document these in the annual evaluation plans. Areas for portfolio research include:

- Support for TRM Updates
- Process Evaluation Research
- Other Cross-cutting Research

2.4.1 Guiding Principles

1. There are limited evaluation resources and Guidehouse will work with the Companies to identify priorities and document these in the annual evaluation plans. Activities will focus first on programs that have not been evaluated, have been significantly modified, provide the most savings, or have activities that were not fully evaluated in an earlier round of evaluations.

2. Gather participant data, perform analysis, and produce recommendations to help improve the functioning and effectiveness of the Companies programs.
3. Within budget constraints, collaborate with Nicor Gas, ComEd, and other Illinois utilities to identify, prioritize, and conduct research, including process evaluation of joint programs and studies of energy efficiency technologies, industry best practices, non-participant characteristics, market characterizations, or other topics of interest.
4. When available to evaluators and appropriate, use gas consumption data when analyzing impacts and customer usage patterns.

2.4.2 Support for TRM Updates

Activities

The evaluation team will provide support to improve the TRM by participating in the Technical Advisory Committee (TAC) meetings and update process. Guidehouse will work with the Companies to identify priorities and research needs for new measures or updates.

Activities may include reviewing TRM draft versions; developing workpapers for new measures or to update existing measures; and analyzing data from completed evaluation activities to support new measures or update TRM assumptions. Guidehouse will provide technical review for workpapers developed by PGL and NSG and their implementation contractors if requested.

Deliverables

- When identified, submit TRM update or new measure requests to the TRM Administrator
- If a TRM new measure or update request is accepted, produce the corresponding TRM update workpaper

Timing

The TRM is updated annually based on input from Program Administrators, evaluators, and other interested stakeholders through a consensus-based decision-making process. The following TRM schedule will be followed, unless changes are accepted by the TRM TAC:

- **February 26:** Submit TRM update requests to the TRM administrator.
- **April 1:** TRM TAC informs Program Administrators, evaluators, and SAG which measures are high priority measures, for which work papers need to be prepared.
- **May 15:** Proposed updates to existing measure work papers to clarify terms or approaches, as well as proposed work papers for new measures, are submitted to the TRM Administrator.
- **May 15 – September 24:** Ongoing TAC meetings and review/comment on submitted workpapers to reach consensus on TRM updates.
- **October 1:** Final TRM values effective January 1 for the following program year.
- **October/November:** Discussions on evaluation priorities for TRM updates.

2.4.3 Process Evaluation Research

Activities

We will work closely with the Companies to select which programs and issues would benefit most from process evaluation. The procedure for selecting process evaluation work will be informed by the latest information on program performance, market status, joint-program collaboration opportunities, budget, and Company priorities. We will develop study-specific plans, conduct the evaluation, and deliver insights and recommendations in time for the Companies to incorporate these into the next program year. We will work with the Companies throughout the year to identify emerging issues that could be illuminated by new research (not anticipated in the evaluation plan process) and schedule and implement that research (budget allowing). As appropriate, Guidehouse will coordinate process activities across programs and across utilities for joint programs to address the whole of the Companies approach to the market.

The process evaluation for each program, when conducted, will include in-depth qualitative interviews with Program Administration staff and Program Implementers. These interviews will be used to develop a complete understanding of the final design, procedures, and implementation strategies for each program. Through these interviews, available program materials, including marketing and outreach materials such as web-based promotional content, point of purchase (POP) materials, print and radio advertising copy, and any cooperative marketing materials developed, will be collected.

While the process evaluation methods for each individual program will vary depending on the program's needs and stage of development, key tasks in conducting process evaluations using interview techniques and documentation review include:

- Develop interview and/or online survey guides.
- Identify appropriate parties to interview or survey. Frequently, the evaluation will include in-depth qualitative interviews with those directly involved in each program, including program managers and implementation contractors, and conduct interviews or online surveys with participating trade allies and participating customers.
- Conduct interviews or online surveys and other research data collection tasks.
- Analysis and reporting of findings and recommendations.

Depending upon the circumstances, Guidehouse will use either a survey house to conduct structured surveys, online survey tools, or senior staff members to complete interviews. Process evaluation surveys will be conducted concurrently with NTG surveys, when appropriate, to minimize the burden on the customer and/or trade ally. Process evaluation findings and recommendations may be summarized in a report separate from the impact evaluation report.

Deliverables

- Presentation of process evaluation research findings and recommendations, in memo format or PowerPoint presentation

Timing

- A schedule will be established for each process evaluation deliverable. To support the Companies’ annual planning process for program implementation, initial draft process findings and recommendations will be delivered prior to September 15.

2.4.4 Other Cross-Cutting Research

In addition to TRM support and process research, cross-cutting evaluation research includes initiatives that contribute toward energy savings and other portfolio goals, such as EUL and new measure research, NTG research, and NEI research. Evaluation research is coordinated statewide with the evaluators for ComEd, Ameren Illinois, and Nicor Gas and jointly funded and implemented when possible.

2.4.5 Evaluation Research Activities

Table 2-7 summarizes evaluation research tasks underway or in the planning stages. The evaluation team will revisit this list on an ongoing basis as, for example, the SAG releases new updates on TRM research priorities and the PGL and NSG portfolio measure mix shifts over time. This regular review will enable Guidehouse’s research to focus on the most important topics for the Companies’ evaluation and SAG stakeholders. Guidehouse will develop research plans over the course of 2022 and as new needs arise and include those in the appendices.

Table 2-7. Portfolio Evaluation Research

Research Task	Description	Joint?	2022	2023	2024	2025
NTG Research	Conduct “mock” NTG interviews with non-residential new construction implementers to improve free ridership survey questions. Delivery prior to start of 2022 NTG research.	✓	✓			
TRM Support	The TRM Technical Advisory Committee identified measures for which review of historical gas program tracking data might possibly support establishing gas measure default values and income eligible baseline characteristics. Potential evaluation research opportunities will be explored with the Companies, and prioritized by need, impact, and cost. Delivery prior to January 31, 2023.	TBD	✓			
Various Joint Research Activities, Including Process Research	ComEd, Ameren, and Nicor Gas are developing evaluation research plans for 2022-2025 across an array of topics. As scope, budget, and timing are firmed up for their research, we will present the opportunities and merits for joint-participation or coordination.	✓	✓	✓	✓	✓

2.5 Evaluation Portfolio Support and Reporting

2.5.1 Annual Review of the Companies' Adjustable Savings Goals

Each year, the Companies request that Guidehouse, as its Independent Evaluator, verify the accuracy in the TRM calculations used to derive the measure savings that form the savings goals in the adjustable savings goal spreadsheet in advance of filing the completed adjustable savings goal spreadsheet.

Guidehouse will work with the Program Administrator to review the Adjustable Savings Goals Spreadsheet each year for 2022 through 2025. After our review, if we find instances of measures that do not accurately match the effective Illinois TRM Version, Guidehouse will recommend the Companies make updates. Guidehouse will review a revised version of the Adjustable Savings Goals Spreadsheet provided by the Companies to confirm they implemented our recommended measure updates correctly.

Deliverables

- Findings and recommendations from review of draft annual Adjustable Savings Goals templates for the Companies.

2.5.2 Ad-Hoc Evaluation Support Requests

Guidehouse will produce periodic ad-hoc memos and presentations to assist the Companies and Program Implementer with guidance and program development assistance through the lens of the evaluation contractor perspective, when requested.

2.5.3 Cost-Effectiveness Review and Summary Reporting

Guidehouse will conduct a TRC cost-effectiveness analysis at the conclusion of the four-year program plan pursuant to Section 8-104(f)(8). Guidehouse will provide a brief annual portfolio summary report for each program year, 2022 through 2025, and will produce a final report summarizing the combined results for the four program years after the conclusion of 2025. The annual portfolio summary reporting will be presented in three spreadsheet documents, using templates recommended by the SAG, accompanied by a memo describing Guidehouse's approach and source of assumptions. The tables included are:

1. TRC and Program Administrator Cost Test (PACT) Cost-Effectiveness Results Tables
2. Verified Energy Savings and Program Cost Summary Tables
3. High-Impact Measures Tables

Results will include benefit-cost ratios as well as the net present value (NPV) of benefits, costs, and net benefits for the following scenarios:

- with and without non-energy impacts (NEIs) (if available at the time of evaluation), as well as,

- at the portfolio-level TRC, with and without income qualified programs.

The final summary for the four years will include the annual and four-year results in a standard report format. The annual and four-year cost-effectiveness reporting will include a separate joint-utility memo providing the gas and electric costs, benefits, and TRCs for the joint energy efficiency programs that the gas companies offer in conjunction with electric program administrators.

2.5.4 Job and Macroeconomic Impact Reporting

Guidehouse will produce a draft and final report annually encompassing economic and employment impacts analysis for the Companies energy efficiency portfolio. This analysis will be alignment with the Illinois Energy Efficiency Policy Manual (the Policy Manual) requirement that each program administrator in Illinois must annually report estimates of the economic development and employment impacts of its energy efficiency programs. The reports may also include estimated impacts for individual Programs. Estimates will include direct, indirect, and induced effects on employment, industry output, and labor income.

Direct effects may include, but are not limited to, the initial changes in employment and demand for regional production triggered by the implementation and management of utility Energy Efficiency Programs. These effects include jobs managing and implementing Programs, Program Implementation Contractor incentives, participant rebates, and bill savings.

Indirect effects may include, but are not limited to, secondary impacts generated from business-to-business spending as firms and households directly impacted by the Energy Efficiency Programs increase purchases from their suppliers who must in turn increase purchases from their suppliers and so forth as the initial expenditure ripples through interconnected industries. These effects include the impact of contractors purchasing equipment from distributors or manufacturers that is needed to implement programs.

Induced effects may include, but are not limited to, secondary impacts generated from household to business spending as labor income changes that result from both direct and indirect activity affect the local economy. This is the effect of additional household income resulting from jobs that are created.

Deliverables

- Annual program summary reporting of verified impacts, cost-effectiveness results and job and macroeconomic reporting.
- A four-year summary report of verified impacts and cost-effectiveness results and job and macroeconomic reporting produced after the end of 2025.

Timing

- Work on the annual cost-effectiveness spreadsheet reports will begin May 1, with draft results available thirty days after all annual impact evaluation reports are final and receiving final program cost data from the Companies. Assuming all final reports and cost data are received by July 15, the first draft will be delivered August 15, and the final report by October 15.
- Assuming all final reports and cost data are received by July 15, the first draft of the Job and Macroeconomic Impact Reporting will be delivered August 31, and the final report by October 31 or best efforts.

2.6 Evaluation Budget

The evaluation budget for work represented in this evaluation plan is presented in Table 2-8.

Table 2-8. Evaluation Budget

Evaluation Activity	PY2022	PY2023	PY2024	PY2025	Portfolio Total
Management	\$77,000	\$79,310	\$81,689	\$84,140	\$322,140
Crosscutting	\$90,000	\$74,000	\$76,220	\$84,465	\$324,685
Four-Year Portfolio EM&V Work Plan and Annual Updates Annual and 4-Year Total Resource Cost, Economic Impact, and Summary Reporting Annual Review of the Companies' Adjustable Savings Goals Support ICC Staff Requests and Participate in SAG Meetings					
Impact Evaluation	\$505,000	\$510,000	\$515,000	\$524,000	\$2,054,000
Annual Impact Evaluation and Reporting for Each Program					
NTG Research					
2022-23 Wave	\$215,000				\$215,000
2023-24 Wave		\$220,000			\$220,000
2024-25 Wave			\$212,000		\$212,000
Portfolio Research (Four-Year Allocation)		\$250,000			\$250,000
Provide Technical Research and Support to Update the IL-TRM Advise Market Transformation Activities and Participate in Joint MT Evaluation Research Conduct Other Research, including Joint Research with ComEd					
Subtotal					\$ 3,597,825
Administrative Adder (5%)					\$ 179,891
TOTAL					\$ 3,777,716

Appendix A. Program Evaluation Plans

Guidehouse has developed program-specific plans to evaluate the entire portfolio of PGL and NSG energy efficiency programs. The following programs are covered in this plan:

- **Residential Programs**
 - Outreach and Education
 - Home Energy Jumpstart
 - Home Energy Rebate
 - Multi-Family Program
- **Income Eligible Programs**
 - Income Eligible Single Family
 - Income Eligible Multi-Family
- **Business and Public Sector Programs**
 - Direct Installation and Prescriptive Rebates
 - Custom Rebates
 - Gas Optimization
 - Strategic Energy Management
 - Coordinated Retro-Commissioning
 - Coordinated Non-Residential New Construction
 - Small and Mid-Size Business
 - Commercial Food Service
- **Market Transformation Initiatives**
 - Building Operator Coordination

A.1 Residential Programs

A.1.1 OUTREACH AND EDUCATION

Outreach and Education is designed to provide awareness and education that motivates customers to change behavior and implement small but meaningful efficiency measures through education and provision of low cost measures. It will also provide information on rebate programs and incentives available for long-term savings measures.

Energy Efficiency Education. Fifth-grade teachers are provided lesson plans and activities aligned with state curriculum standards that are designed to teach students about energy production and conservation. Each student will receive a take-home kit containing energy efficient products to install at home with their families.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
Impact	Savings Calculator and Work Paper Review	X	X	X	X
Impact	Measure-Level Deemed Savings Review	X	X	X	X
Impact	Interim Impact Analysis	X	X	X	X

A.1.2 SINGLE FAMILY HOME ENERGY JUMPSTART

The Single Family Home Energy Jumpstart path is designed to help customers save energy via energy assessments with direct install of low cost measures and provide recommendations for incentives for efficient measures.

Customers will receive an energy assessment and direct installation of low cost energy and water saving measures including low flow showerheads, kitchen and bathroom faucet aerators, programmable/smart thermostats and pipe insulation at no cost. Field technicians complete a high-level energy assessment to identify other energy saving opportunities and provide the customer with estimated costs and savings for these opportunities. The technician will educate residential customers on financial incentives available for eligible measures identified during the assessment and provide referrals for appropriate vendors.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Market Offering	Category	Tasks	CY2022	CY2023	CY2024	CY2025
Assessments and DI	Impact	Measure-Level Deemed Savings Review	X	X	X	X
Assessments and DI	Impact	Interim Impact Analysis	X	X	X	X
Assessments and DI	General	Staff Interview	X			
Assessments and DI	General	Implementer Interview	X			
Assessments and DI	NTG	Net Savings Research – Customer Free Ridership Survey		X		
Assessments and DI	NTG	Net Savings Research – Customer Spillover Survey		X		
Assessments and DI	Process	Research Optional, Discuss with Program		X		
Advanced Thermostats	General	Staff Interview	X			
Advanced Thermostats	General	Implementer Interview	X			
Advanced Thermostats	NTG	Net Savings Research – Customer Free Ridership Survey		X		
Advanced Thermostats	NTG	Net Savings Research – Customer Spillover Survey		X		
Advanced Thermostats	Process	Research Optional, Discuss with Program		X		

The Advanced Thermostat NTG research will include thermostats distributed through rebated purchase (Home Energy Rebate Program) or part of an assessment and direct installation program (Home Energy Jumpstart and Multi-Family programs).

A.1.3 HOME ENERGY REBATE

The Home Energy Rebates path is designed to help customers save energy incentives for efficient equipment. Customers may receive incentives for the purchase and installation of higher efficiency furnaces, boilers, thermostats and insulation/air sealing. The incentives offset the incremental cost of high efficiency equipment and the cost of installation. Trade allies install measures and engage customers.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Market Offering	Category	Tasks	CY2022	CY2023	CY2024	CY2025
HVAC, Water Htg, Wx	Impact	Measure-Level Deemed Savings Review	X	X	X	X
HVAC, Water Htg, Wx	Impact	Interim Impact Analysis	X	X	X	X
HVAC, Water Htg, Wx	General	Staff Interview		X		
HVAC, Water Htg, Wx	General	Implementer Interview		X		
HVAC, Water Htg, Wx	NTG	Net Savings Research – Customer Free Ridership Survey			X	
HVAC, Water Htg, Wx	NTG	Net Savings Research – Customer Spillover Survey			X	
HVAC, Water Htg, Wx	NTG	Net Savings Research – Trade Ally Free Ridership Survey			X	
HVAC, Water Htg, Wx	NTG	Net Savings Research – Trade Ally Spillover Survey			X	
HVAC, Water Htg, Wx	Process	Research Optional, Discuss with Program			X	
Advanced Thermostats	General	Staff Interview	X			
Advanced Thermostats	General	Implementer Interview	X			
Advanced Thermostats	NTG	Net Savings Research – Customer Free Ridership Survey		X		
Advanced Thermostats	NTG	Net Savings Research – Customer Spillover Survey		X		
Advanced Thermostats	Process	Research Optional, Discuss with Program		X		

The Advanced Thermostat NTG research will include thermostats distributed through rebated purchase (Home Energy Rebate Program) or part of an assessment and direct installation program (Home Energy Jumpstart and Multi-Family programs).

A.1.4 MULTI-FAMILY ASSESSMENTS & REBATES/GRANTS

Multi-Family Assessments and Rebates/Grants target customers who live in multi-family buildings and multi-family building owners/property managers. The path is designed as a one-stop-shop, meaning that the target audience will be able to access all offerings through one point of contact with assistance as needed and requested. Peoples Gas and North Shore Gas intend to work with local organizations with expertise in the multi-family market such as Elevate and Community Investment Corporation.

Energy Assessment and Direct Install (DI) An energy advisor performs a comprehensive energy audit of the building and makes recommendations for direct install and rebate opportunities. A report is provided to building owners/managers on the energy and water saving opportunities present within their facility. Residents receive direct installation of low cost energy and water saving measures including low flow showerheads, kitchen and bathroom faucet aerators, programmable/smart thermostats and pipe insulation (at no cost).

Rebates – Standard and Partner Trade Ally (PTA) Pre-qualified, standardized rebates are available for measures such as heating systems, steam traps, and pipe insulation. Incentives are based on the size and efficiency of the equipment installed. A network of selected trade allies, screened and registered, offers measures with higher incentives (20%-30% higher on average).

Rebates – Custom Customers may receive incentives for non-standard measures, including new construction projects. Incentives are calculated on a \$/first year therm savings basis.

Gas Optimization. An energy advisor/engineer performs an advanced gas usage study to identify operation and maintenance issues as well as energy conservation measures related to the building heat system.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Market Offering	Category	Tasks	CY2022	CY2023	CY2024	CY2025
DI, PTA, Standard	Impact	Measure-Level Deemed Savings Review	X	X	X	X
DI, PTA, Standard	Impact	Interim Impact Analysis	X	X	X	X
Custom, Gas Opt.	Impact	Custom Savings Review	X	X	X	X
Custom, Gas Opt.	Impact	Wave impact analysis	X	X	X	X
Custom, Gas Opt.	Impact	Survey, Phone, or Virtual Verification	X	X	X	X
Custom, Gas Opt.	Impact	Onsite Verification	X	X	X	X
Partner Trade Ally (PTA)	General	Staff Interview	X			
Partner Trade Ally (PTA)	General	Implementer Interview	X			
Partner Trade Ally (PTA)	NTG	Net Savings Research – Customer Free Ridership Survey		X		
Partner Trade Ally (PTA)	NTG	Net Savings Research – Customer Spillover Survey		X		
Partner Trade Ally (PTA)	NTG	Net Savings Research – Trade Ally Free Ridership Survey		X		
Partner Trade Ally (PTA)	NTG	Net Savings Research – Trade Ally Spillover Survey		X		
Partner Trade Ally (PTA)	Process	Research Optional, Discuss with Program		X		
Advanced Thermostats	General	Staff Interview	X			
Advanced Thermostats	General	Implementer Interview	X			
Advanced Thermostats	NTG	Net Savings Research – Customer Free Ridership Survey		X		
Advanced Thermostats	NTG	Net Savings Research – Customer Spillover Survey		X		
Advanced Thermostats	Process	Research Optional, Discuss with Program		X		
Standard, Custom	General	Staff Interview		X		
Standard, Custom	General	Implementer Interview		X		
Standard, Custom	NTG	Net Savings Research – Customer Free Ridership Survey			X	
Standard, Custom	NTG	Net Savings Research – Customer Spillover Survey			X	
Standard, Custom	NTG	Net Savings Research – Trade Ally Free Ridership Survey			X	
Standard, Custom	NTG	Net Savings Research – Trade Ally Spillover Survey			X	
Standard, Custom	Process	Research Optional, Discuss with Program			X	
Gas Optimization	General	Staff Interview			X	
Gas Optimization	General	Implementer Interview			X	
Gas Optimization	NTG	Net Savings Research – Customer Free Ridership Survey				X
Gas Optimization	NTG	Net Savings Research – Customer Spillover Survey				X
Gas Optimization	Process	Research Optional, Discuss with Program				X

The Advanced Thermostat NTG research will include thermostats distributed through rebated purchase (Home Energy Rebate Program) or part of an assessment and direct installation program (Home Energy Jumpstart and Multi-Family programs).

The Multi-family Gas Optimization NTG research will occur on the same timeline as business and public sector NTG research.

A.2 Income Eligible Programs

A.2.1 INCOME ELIGIBLE SINGLE FAMILY

Income Eligible Single Family is designed to help income eligible customers save energy and reduce their utility bills.

Retrofit A comprehensive retrofit is an energy efficiency project that applies a whole building approach and touches multiple systems and components of a customer’s residence. Qualifying customers in existing homes will receive an energy assessment and a comprehensive retrofit that focuses on a whole building approach to improving energy efficiency in the customer’s home. Customers may receive free installation of insulation and air sealing based upon the results of the energy assessments, mechanicals and electric measures. As needed, customers will receive emergency replacement of heating and water heating systems or a heating system tune-up, and health and safety measures.

Elementary Energy Education Fifth-grade teachers are provided lesson plans and activities aligned with state curriculum standards that are designed to teach students about energy production and conservation. Each student will receive a take-home kit containing energy efficient products to install at home with their families. The program will target schools in neighborhoods that qualify as income eligible.

Home Energy Assessments Offers energy-saving products installed in homes at no cost to the customer. Option for virtual assessment: the goal of this pathway will be used as another touch point with income eligible customers and an opportunity to drive them toward the more comprehensive retrofit paths.

Income Eligible Kits Provides income-qualified customers with a kit of energy efficiency measures to self-install. The Income Eligible Gas Kits are distributed by ground mail to qualified customers vetted by the Low-Income Eligible Home Energy Assistance Program (LIHEAP).

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
Impact	Measure-Level Deemed Savings Review	X	X	X	X
Impact	Interim Impact Analysis	X	X	X	X
General	Staff Interview	X			
General	Implementer Interview	X			
General	Discuss Optional Research	X			
Process	Research, IHWAP Survey	X			

A.2.2 INCOME ELIGIBLE MULTI-FAMILY

Income Eligible Multi-Family is designed to offer a comprehensive, one-stop-shop to multi-family building owners whose buildings are targeted to income eligible residents. Participating multi-family building owners and managers will benefit directly from free energy assessments, financial guidance and enhanced rebates, installation support, project oversight and continuing engagement.

Retrofit A comprehensive retrofit is an energy efficiency project that applies a whole building approach and touches multiple systems and components of a customer’s residence. A technician will conduct a comprehensive energy assessment to identify energy savings opportunities. This will include a site visit with a visual and diagnostic inspection as well as an analysis of utility bills. The assessment will report on opportunities for direct installation of low-cost measures both in-unit and common areas, plus comprehensive retrofit opportunities that focus on a whole building approach to improving energy efficiency. Building owners will receive a report detailing estimated costs and savings for the efficiency improvements. They will receive enhanced incentives and possibly financing for the purchase and installation of efficient equipment. The incentives help offset the incremental cost of high efficiency equipment and the cost of installation. As needed, buildings will receive health and safety measures as well as, direct installation of unit measures at no cost.

New Construction Enhanced rebates will be offered to affordable housing developers for substantial rehab and new construction of income eligible multi-family buildings.

Partner Trade Ally (PTA) Trade Allies are given higher rebate amounts to serve geographically income-eligible customers with retrofit measures.

Income Eligible Kits Provides income-qualified customers with a kit of energy efficiency measures to self-install. The Income Eligible Gas Kits are distributed by ground mail to qualified customers vetted by the Low-Income Eligible Home Energy Assistance Program (LIHEAP).

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
Impact	Measure-Level Deemed Savings Review	X	X	X	X
Impact	Interim Impact Analysis	X	X	X	X
Impact	Custom Savings Review	X	X	X	X
Impact	Survey, Phone, or Virtual Verification	X	X	X	X
General	Staff Interview	X			
General	Implementer Interview	X			
Process	Discuss Optional Research	X			

The ComEd evaluation team will conduct additional income eligible process evaluation research in 2023 and 2024 to support ComEd’s compliance with the Plan 6 Stipulation Agreement.⁵ As the ComEd evaluation plan for this research develops further, we will discuss with PGL and NSG program staff the opportunities and merits for joint collaboration or coordination.

⁵ “Evaluation of Customer Engagement and Targeted Energy Efficiency Delivery Efforts: ComEd will direct its independent evaluator to perform one or more process evaluations of the Customer Engagement and Mapping of Assistance Needs and Targeting Delivery of Weatherization Services efforts described above during the Plan 6 Period and will share the results at a joint SAG and Committee meeting.” (Revised Stipulation Agreement, February 28, 2022, section IV.A.6.c)

A.3 Business and Public Sector Programs

A.3.1 PRESCRIPTIVE REBATES

C&I and Public Sector customers may receive pre-qualified, standardized rebates available for the most common efficiency upgrades, such as heating systems, steam traps, and pipe insulation. The incentives are based on the size and efficiency of the equipment installed.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Program	Category	Tasks	CY2022	CY2023	CY2024	CY2025
C&I and Public Sector	Impact	Measure-Level Deemed Savings Review	X	X	X	X
C&I and Public Sector	Impact	Interim Impact Analysis	X	X	X	X
C&I Programs	General	Staff Interview			X	
C&I Programs	General	Implementer Interview			X	
C&I Programs	NTG	Net Savings Research – Customer Free Ridership Survey				X
C&I Programs	NTG	Net Savings Research – Customer Spillover Survey				X
C&I Programs	NTG	Net Savings Research – Trade Ally Free Ridership Survey				X
C&I Programs	NTG	Net Savings Research – Trade Ally Spillover Survey				X
C&I Programs	Process	Research Optional, Discuss with Program				X
Public Sector	General	Staff Interview			X	
Public Sector	General	Implementer Interview			X	
Public Sector	NTG	Net Savings Research – Customer Free Ridership Survey				X
Public Sector	NTG	Net Savings Research – Customer Spillover Survey				X
Public Sector	NTG	Net Savings Research – Trade Ally Free Ridership Survey				X
Public Sector	NTG	Net Savings Research – Trade Ally Spillover Survey				X
Public Sector	Process	Research Optional, Discuss with Program				X

The NTG interviews in the Public Sector will cover Prescriptive and Custom project participants, due to the project type overlap and decision-making similarities within Public Sector customers and the trade allies that serve them.

A.3.2 CUSTOM REBATES

C&I and Public Sector Custom Rebate customers may receive incentives for non-standard measures, including new construction projects. Incentives are calculated on a \$/first year therm savings basis. Staff will work with customers to identify and quantify savings opportunities for complex projects. New construction incentives are designed to push building efficiency beyond what is required through compliance with building codes, through an integrated, comprehensive, whole-building analysis early in the design phase.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Program	Category	Tasks	CY2022	CY2023	CY2024	CY2025
C&I and Public Sector	Impact	Custom Savings Review	X	X	X	X
C&I and Public Sector	Impact	Wave Impact Analysis	X	X	X	X
C&I and Public Sector	Impact	Survey, Phone, or Virtual Verification	X	X	X	X
C&I and Public Sector	Impact	Onsite Verification	X	X	X	X
C&I	General	Staff Interview		X		
C&I	General	Implementer Interview		X		
C&I	NTG	Net Savings Research – Customer Free Ridership Survey			X	
C&I	NTG	Net Savings Research – Customer Spillover Survey			X	
C&I	Process	Research Optional, Discuss with Program			X	
Public Sector	General	Staff Interview			X	
Public Sector	General	Implementer Interview			X	
Public Sector	NTG	Net Savings Research – Customer Free Ridership Survey				X
Public Sector	NTG	Net Savings Research – Customer Spillover Survey				X
Public Sector	Process	Research Optional, Discuss with Program				X

The Custom Rebate NTG interviews in the C&I sector will in 2023 and 2024 for reporting in 2024. Public Sector NTG research for Custom Rebates will occur one year later, for reporting in 2025, to cover Prescriptive and Custom project participants, due to the project type overlap and decision-making similarities within Public Sector customers and the trade allies that serve them.

A.3.3 GAS OPTIMIZATION STUDIES

Gas Optimization Studies that focus on identifying low-cost or no-cost “actionable” measures for building heating, central steam plant and/or process heating energy optimization. The studies will incorporate limited monitoring and testing as necessary.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Program	Category	Tasks	CY2022	CY2023	CY2024	CY2025
C&I and Public Sector, MF	Impact	Custom Savings Review	X	X	X	X
C&I and Public Sector, MF	Impact	Wave Impact Analysis	X	X	X	X
C&I and Public Sector, MF	Impact	Survey, Phone, or Virtual Verification	X	X	X	X
C&I and Public Sector, MF	Impact	Onsite Verification	X	X	X	X
C&I and Public Sector, MF	General	Staff Interview			X	
C&I and Public Sector, MF	General	Implementer Interview			X	
C&I and Public Sector, MF	NTG	Net Savings Research – Customer Free Ridership Survey				X
C&I and Public Sector, MF	NTG	Net Savings Research – Customer Spillover Survey				X
C&I and Public Sector, MF	Process	Research Optional, Discuss with Program				X

The NTG research will cover C&I, Public Sector, and Multi-Family participants. Sector-specific NTG values will be reported if the population and response count support them, otherwise a single NTG will cover all sectors.

A.3.4 STRATEGIC ENERGY MANAGEMENT

Strategic Energy Management engages customers in long-term continuous energy efficiency improvements at their facilities by involving site management, leveraging data, and promoting best practices at the site.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
Impact	Custom Savings Review	X	X	X	X
Impact	Survey, Phone, or Virtual Verification	X	X	X	X

A.3.5 COORDINATED RETRO-COMMISSIONING

The Retro-Commissioning (RCx) Program seeks to realize energy savings by restoring building HVAC systems and optimizing controls to meet the needs of the current building occupants. The program is managed by ComEd, and ComEd coordinates with Nicor Gas, Peoples Gas, and North Shore Gas to account for gas savings generated through the program.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
General	ComEd Staff Interview	X	X	X	X
General	Implementer Interview	X	X	X	X
Impact	Program Tracking Data Review	X	X	X	X
Impact	Custom Savings Review	X	X	X	X
Impact	Wave impact analysis	X	X	X	X
Impact	Survey, Phone, or Virtual Verification	X	X	X	X
Impact	Onsite Verification	X	X	X	X
NTG	Net Savings Research – Customer Free Ridership Survey			X	
NTG	Net Savings Research – Customer Spillover Survey			X	
NTG	Net Savings Research – EESP Free Ridership Survey			X	
NTG	Net Savings Research – EESP Spillover Survey			X	

Guidehouse will perform program tracking data review and project reviews quarterly in CY2022. Our overarching goal is to research savings impacts sufficiently to report program-level savings at ±10% precision and 90% confidence. The default strata will be defined by project size and offering type.

The impact research sample will be drawn quarterly based on the projects labeled “Ready for Evaluation” in the Ops Report provided by the implementation contractor. After program ex ante results are final, the progressive quarterly sample will be compared to the year-end program participation and savings, and we will adjust the sample to comply with sampling goals.

The evaluation team will conduct a NTG study to research free ridership and spillover. We will survey participants and interview active EESPs to research free ridership and spillover. We will triangulate their results to inform the final recommended NTG value in 2024 using methods defined in the Illinois TRM.

A.3.6 COORDINATED NON-RESIDENTIAL NEW CONSTRUCTION

The New Construction Program is offered jointly to non-residential (including public sector) customers served by ComEd and Nicor Gas.⁶ The program aims to capture immediate and long-term energy efficiency opportunities that are available during the design and construction of non-residential and multifamily buildings in ComEd's service territory. The program covers new buildings, additions, and major renovations. Slipstream implements the program for ComEd and Nicor Gas.

Since CY2021, the program offers two different program tracks to tailor program support to different types of participants and specific business segments.

- **Performance path:** Offers a custom, hands-on technical approach to new construction projects and targets projects that are early in the design phase to maximize opportunities to influence the incorporation of high performance design strategies. Technical staff use whole building energy simulations (including energy models and spreadsheet calculations) to optimize the building design for energy performance. Modeling results include incentive amounts and annual energy cost savings estimates. This allows the design team to identify design strategies and technologies that will take their building design further and have the greatest impact on the building's energy use.
- **Best Practices path:** Offers a more prescriptive approach to new construction projects. This pathway was designed for fast-moving, developer-led projects or small projects. This includes predetermined lists of measures and incentives that are available for specific building types along with resources and guidelines for how to best implement those measures. This path provides an optimized and predictable incentive pathway with predefined, per-square foot incentives for achieving specific energy efficiency best practices, as well as optional advanced measures. The building types that are available through the Best Practices path are listed as follows:
 - Warehouse and industrial
 - Multifamily and assisted living
 - Office
 - Retail and grocery
 - Parking garage – being added in 2021

The program is still serving projects that entered the program prior to CY2020 under one of the legacy participation tracks.

⁶ Peoples Gas and North Shore Gas offered the program in the previous program cycle and continue to support legacy projects, although they are no longer accepting new applications.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
General	ComEd Staff Interview	X	X	X	X
General	Implementer Interview	X	X	X	X
Impact	Program Tracking Data Review	X	X	X	X
Impact	Custom Savings Review	X	X	X	X
Impact	Survey, Phone, or Virtual Verification	X	X	X	X
Impact	Wave Impact Analysis	X	X	X	X
NTG	Net Savings Research - Customer Free Rideship Survey		X		X

The gross impact evaluation will be based on a custom savings review. We will perform a program tracking data review and custom savings review in two waves. The first wave is expected to cover the first half of the calendar year (January 1-June 30) and the second wave is expected to cover the second half (July 1-December 31). Each wave will consist of a tracking data review, followed by stratified random sampling of all completed projects and a custom savings review of sampled projects.

The custom savings review will include desk reviews of all sampled projects, including reviewing and, if needed, revising building energy simulation models. On a project-by-project basis, the evaluation team will determine if survey, phone, or virtual verification activities are necessary to complement desk review activities. We will present realization rate results overall for the program by fuel type, inclusive and exclusive of interactive effects.

Per the program design, the baseline for all projects will typically be based on the applicable Illinois Energy Conservation Code (ICC) for Commercial Buildings. The ICC references the International Energy Conservation Code (IECC), which also allows for use of ASHRAE Standard 90.1 as an alternate compliance method. For each project, the applicable code version will be determined by the issuance date of the construction permit. We will also allow for a grace period (in years) with IECC code updates. Therefore, projects with permit issuance dates at or after January 1 of the year following an IECC code update will be assigned the newest IECC code version as a baseline.

The evaluation will include an assessment of customer free ridership estimated based on in-depth interviews with participating customers and a review of project documentation. Net savings research will entail conducting rolling interviews with program participants in the reservation phase of program participation. Although interviews will be conducted throughout all four calendar years, we will conduct NTG analysis to report NTG ratios in only CY2023 and CY2025. NTG analysis will employ enhanced rigor review for approximately 10%-20% of the largest projects in the pool of completed interviews by ex ante savings.

A.3.7 SMALL/MID-SIZE BUSINESS ASSESSMENTS & REBATES

Small business owners are often time-constrained, unaware of energy efficiency opportunities, and lack dedicated staff who concentrate on the facility’s energy use. As such, they are a hard-to-reach target audience. While some business owners are also the property owner, many lease their space and consequently have little motivation to make major improvements to their tenant’s premises.

Direct Install Customers receive direct installation of low-cost energy and water saving measures including low flow showerheads, kitchen and bathroom faucet aerators, pipe insulation and, if applicable, pre-rinse sprayers (at no cost). A high-level, no-cost assessment of the facility will be completed while at the site to identify additional energy efficiency improvements the small business owner/tenant can pursue.

Prescriptive Rebates Pre-qualified, standardized rebates are available for the most common efficiency upgrades, such as heating systems, steam traps, and pipe insulation. Incentives are based on the size and efficiency of the equipment installed.

Partner Trade Ally (PTA) A network of trade allies promotes measures and assists in engaging customers to participate in site assessments to identify savings opportunities. To serve as a PTA, participation and customer satisfaction goals much be achieved. Customers using a PTA will be eligible for enhanced rebate levels.

Custom Incentives Customers may receive incentives for non-standard measures, including new construction projects. Incentives are calculated on a \$/first year therm savings basis. Staff will work with customers to identify and quantify savings opportunities for complex projects.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Market Offering	Category	Tasks	CY2022	CY2023	CY2024	CY2025
Prescriptive, PTA, DI	Impact	Measure-Level Deemed Savings Review	X	X	X	X
Prescriptive, PTA, DI	Impact	Interim Impact Analysis	X	X	X	X
Custom Incentives	Impact	Custom Savings Review	X	X	X	X
Custom Incentives	Impact	Wave impact analysis	X	X	X	X
Custom Incentives	Impact	Survey, Phone, or Virtual Verification	X	X	X	X
All	General	Staff Interview			X	
All	General	Implementer Interview			X	
Prescriptive, PTA, Custom	NTG	Net Savings Research – Customer Free Ridership Survey				X
Prescriptive, PTA, Custom	NTG	Net Savings Research – Customer Spillover Survey				X
Prescriptive, PTA, Custom	NTG	Net Savings Research – Trade Ally Free Ridership Survey				X
Prescriptive, PTA, Custom	NTG	Net Savings Research – Trade Ally Spillover Survey				X
All	Process	Research Optional, Discuss with Program				X

A.3.8 COMMERCIAL FOOD SERVICE PROGRAM

The upstream commercial food service (CFS) rebate program builds on the progress, analysis, and experience gained from a jointly funded pilot program that has been successfully running since 2018. The goal is to support and sustain a comprehensive list of measures for the food service industry to give operators a range of options for improving energy efficiency in their facilities.

The evaluation of this program will include the activities shown in Table 1.

Table 1. Evaluation Activities

Category	Tasks	CY2022	CY2023	CY2024	CY2025
Impact	Measure-Level Deemed Savings Review	X	X	X	X
Impact	Interim Impact Analysis	X	X	X	X
General	Staff Interview	X			
General	Implementer Interview	X			
NTG	Net Savings Research – Customer Free Ridership Survey		X		
NTG	Net Savings Research – Customer Spillover Survey		X		
NTG	Net Savings Research – Trade Ally Free Ridership Survey		X		
NTG	Net Savings Research – Trade Ally Spillover Survey		X		
Process	Research		X		

The evaluation team will perform net-to-gross (NTG) savings research to update the pilot’s NTG ratio for reporting in 2023. This research will include process evaluation into the pilot’s market engagement and customer satisfaction.

A.4 Market Transformation Initiatives

Detailed plans will be added to this Compendium as they are developed. The evaluation plan for ComEd describes the statewide evaluation effort for Energy Codes and Building Performance Standards.

A.5 Portfolio Research Plans

Detailed plans will be added to this Compendium as they are developed.